

# Small-footprint airborne LiDAR technology for REDD+ and MRV application



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## Authors:

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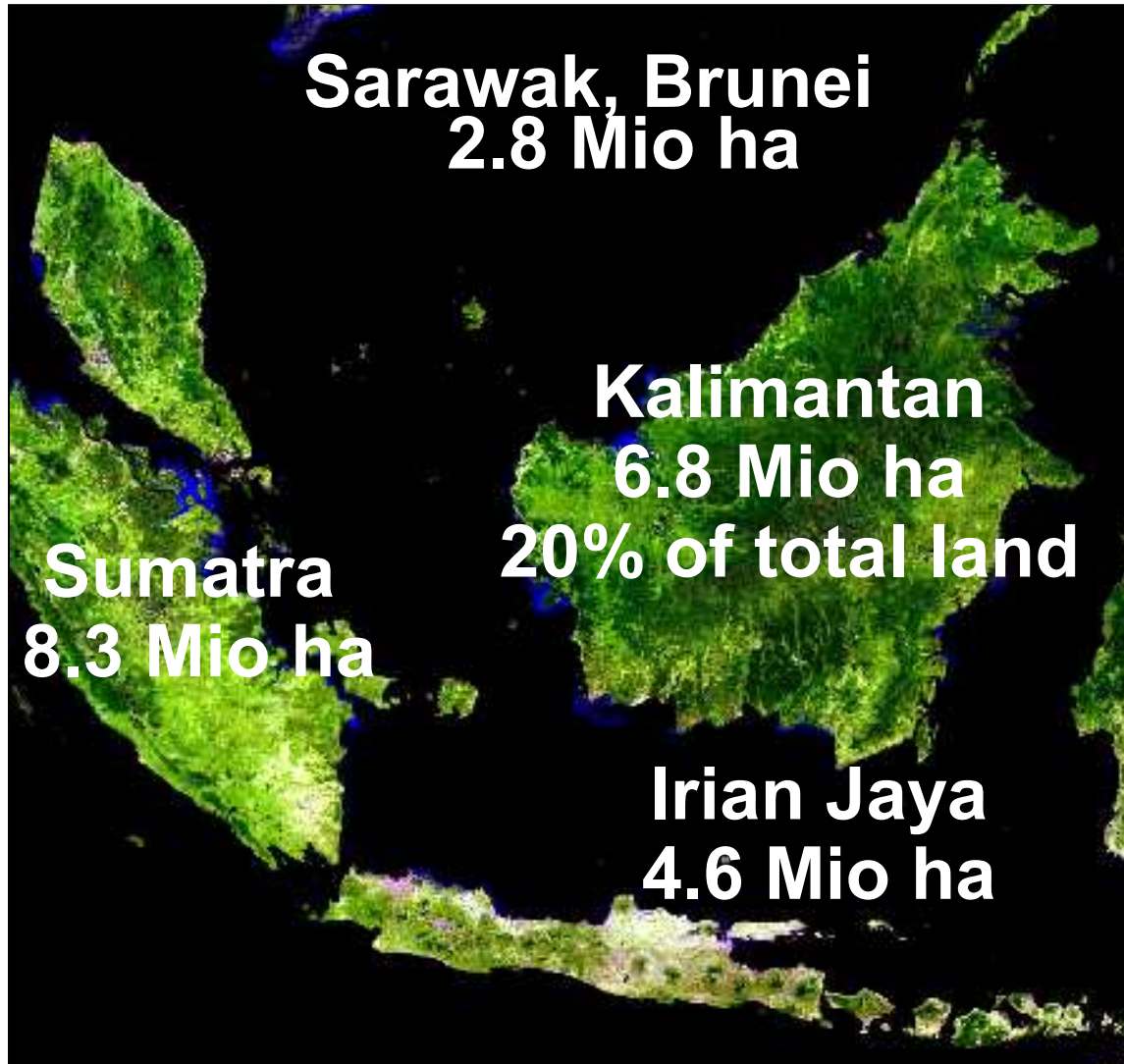
Mr. Juergen Frank, Kalteng Consultants, Germany

# Outline / Content

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- **Introduction**
- **Main Objective**
- **Study Area Description**
- **Material and Methods**
- **Results and Discussion**
- **Final Remarks**
- **Acknowledgement**

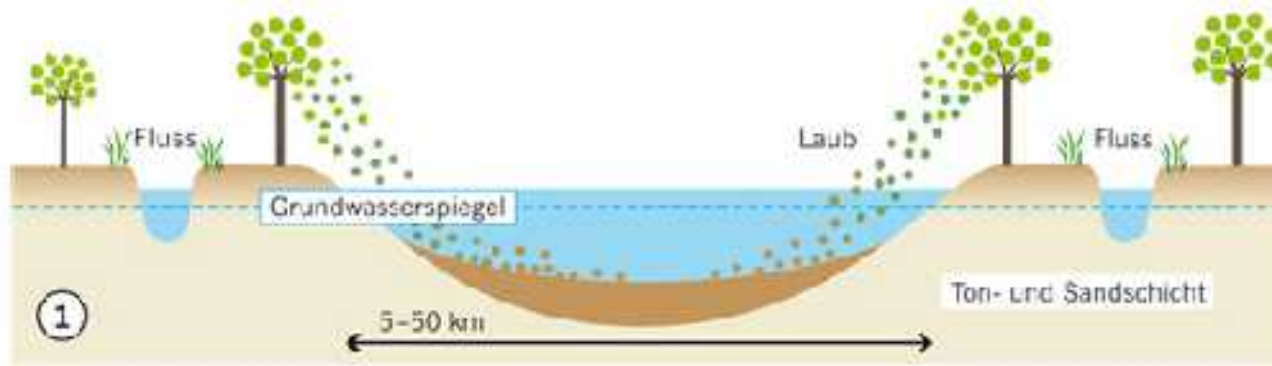
# Introduction



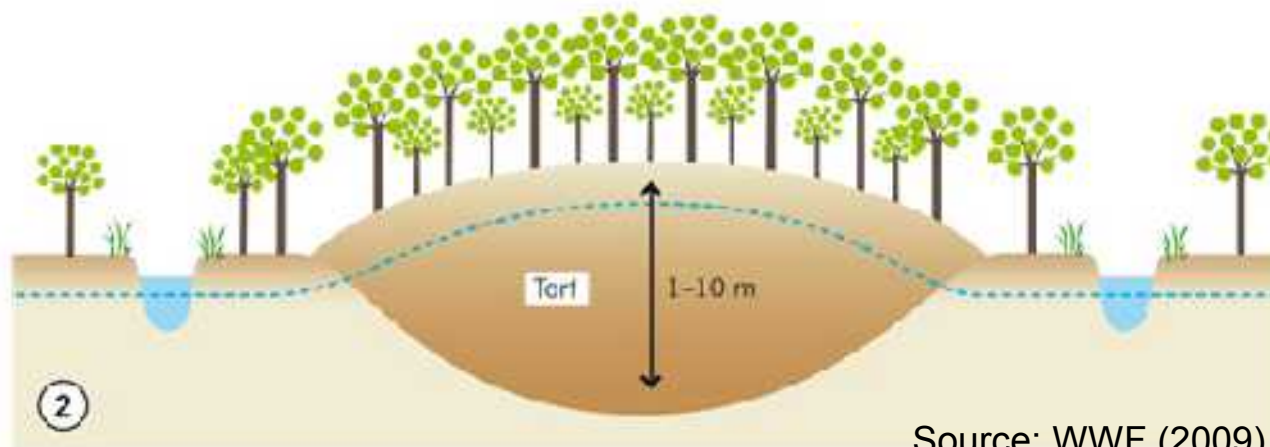
## Indonesian Peatlands

- More than 50% of Tropical Peatlands occurs in Indonesia (~20 Mio ha);
- They represent an important carbon pool;
- Approx. 3 Mio ha have been destroyed by fires in Kalimantan (1997/98);

# Introduction



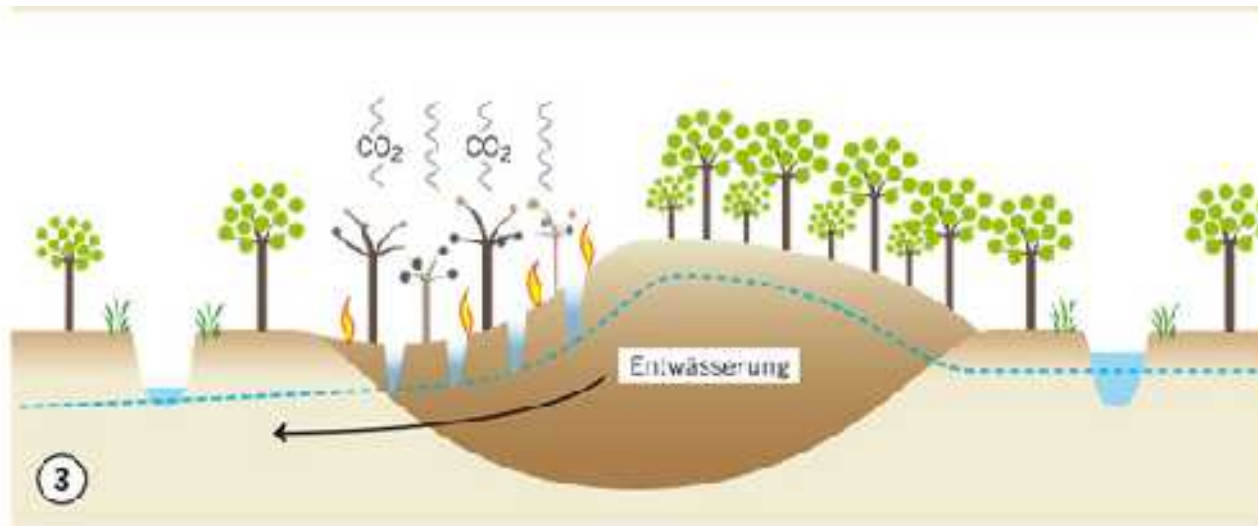
Source: WWF (2009)



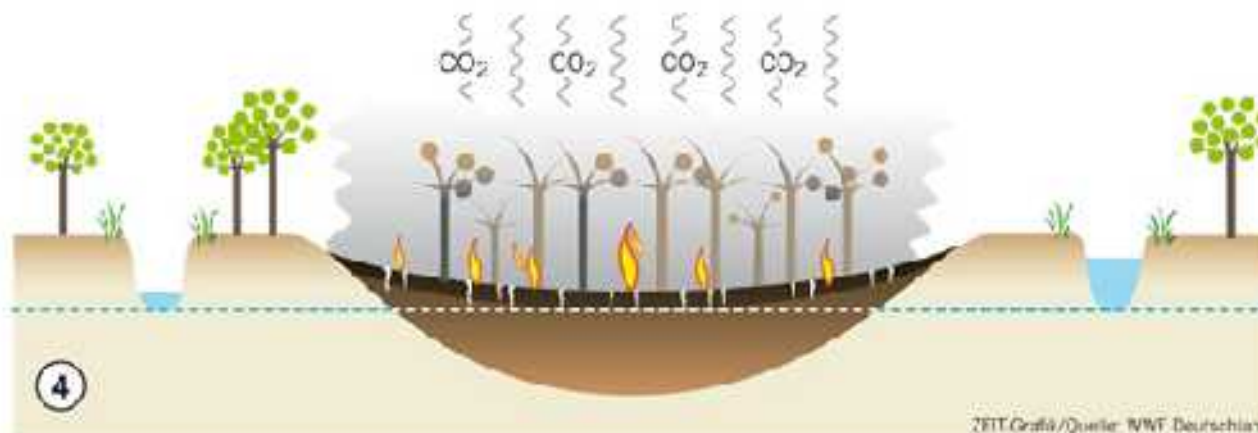
Source: WWF (2009)

## Indonesian Peatlands

# Introduction



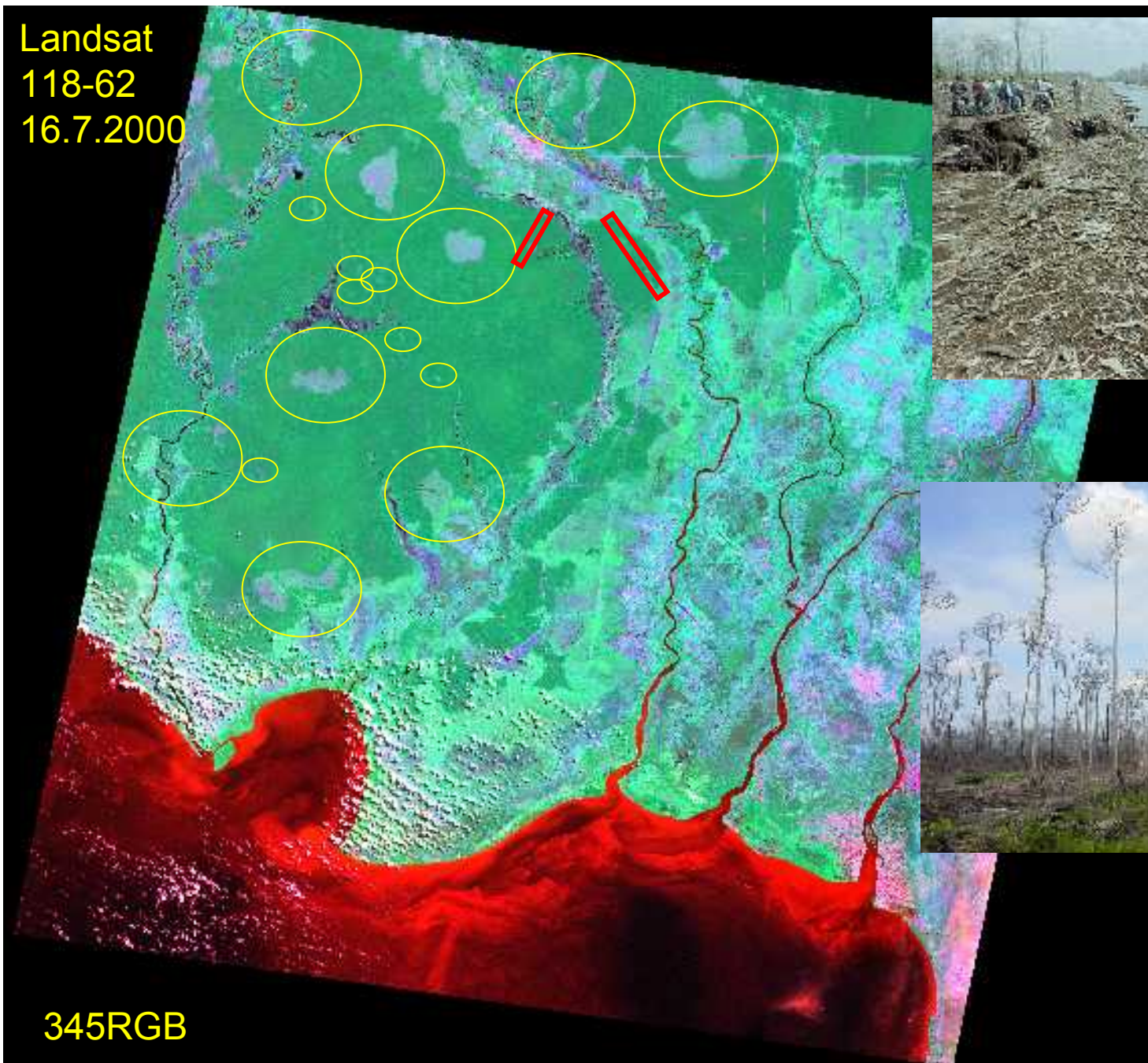
Source: WWF (2009)



ZBIT.Grafi/Quelle: WWF, Deutschland

## Indonesian Peatlands

Landsat  
118-62  
16.7.2000



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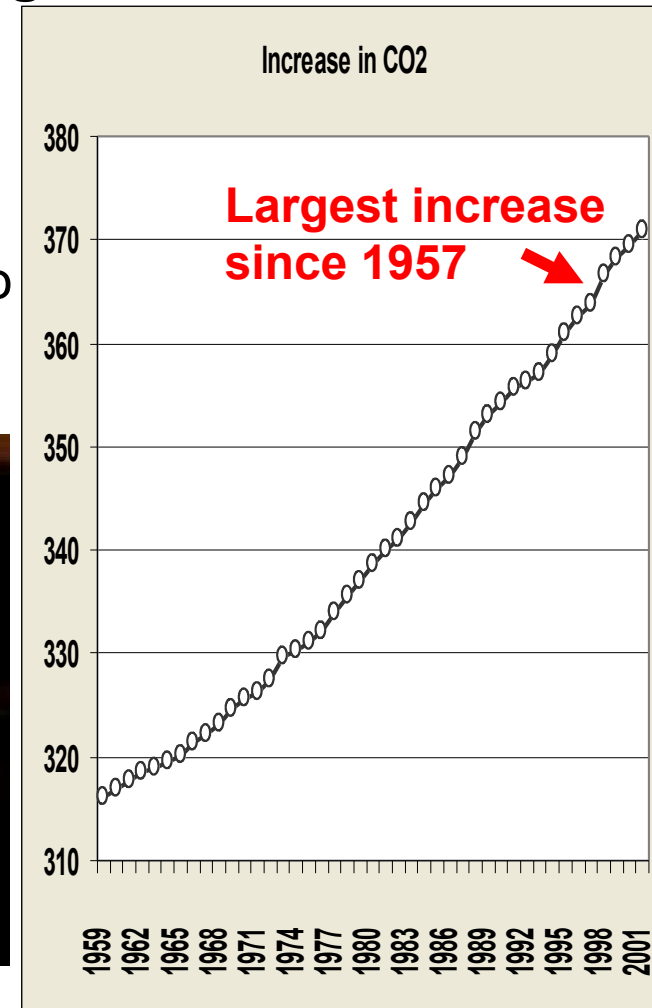
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345RGB

# Introduction

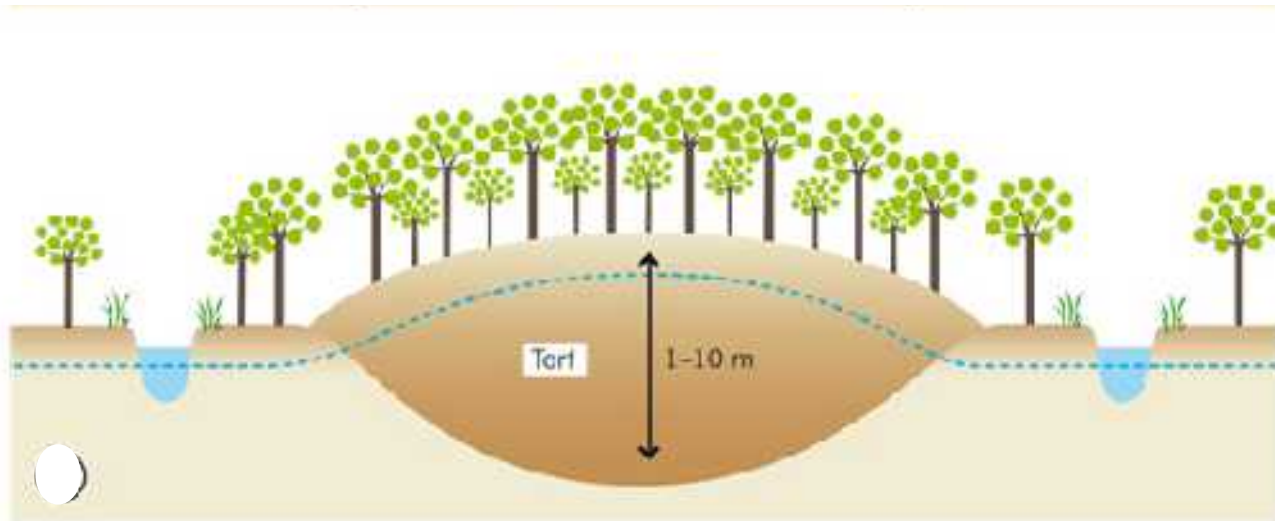
## ▪ Indonesian Peatlands and Climate Change

- ✓ Indonesian peat fires in 1997/98 covered 1.5-2.2 Mio ha and emitted 0.81 – 2.57 Gton CO<sub>2</sub> (Page et al., 2002)
- ✓ Equivalent up to 25 years of successful Kyoto implementation



# Main Objective

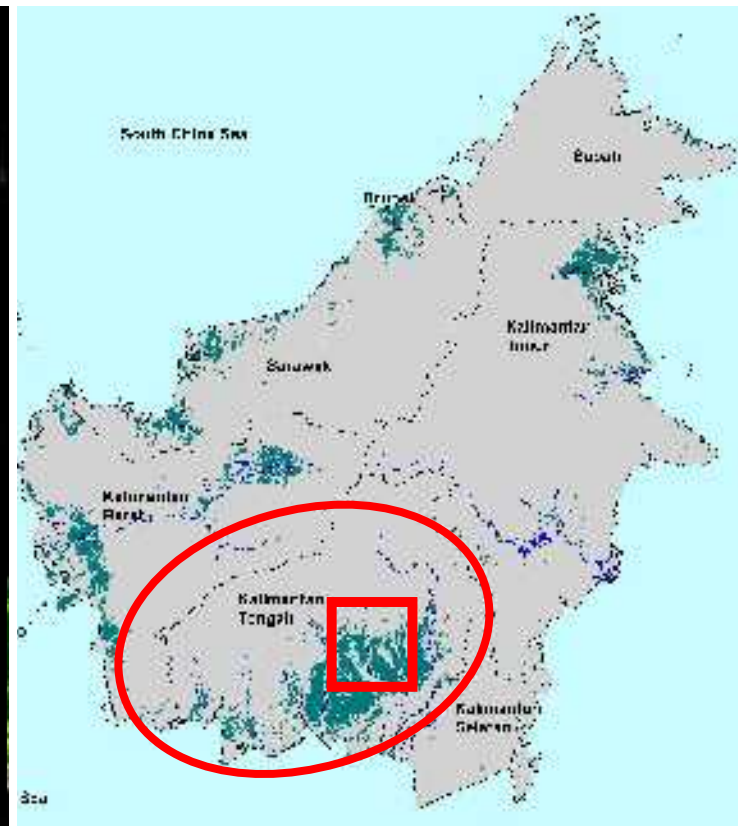
- to evaluate the relationship between tree height and peat dome slope in distinct relief conditions with Airborne LiDAR data in Central Kalimantan (Indonesia);



Source: WWF (2009)



# Study Area



- humid tropical climate (type Af);
- annual rainfall of 3500mm;
- annual mean air temperature of 25°C;
- approx. 25m above sea level;
- mean peat average thickness 4m; max. 12m-15m

**Cloud free 60 MODIS mosaic images of Borneo (2003)**

**Distribution of peat swamp forests in Borneo (2003)**



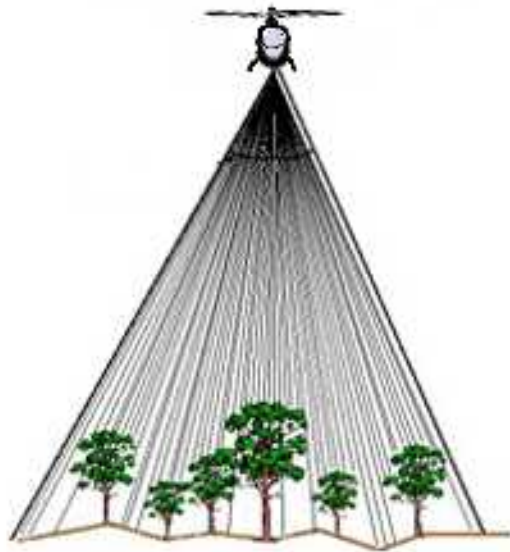
# Study Area

## Ex-MRP Peat Swamp forest area:

- Selectively logged prior to year 1998
- Leaf Area Index (LAI) close to 6
- Influenced by drainage channel



## Airborne Laser Scanner

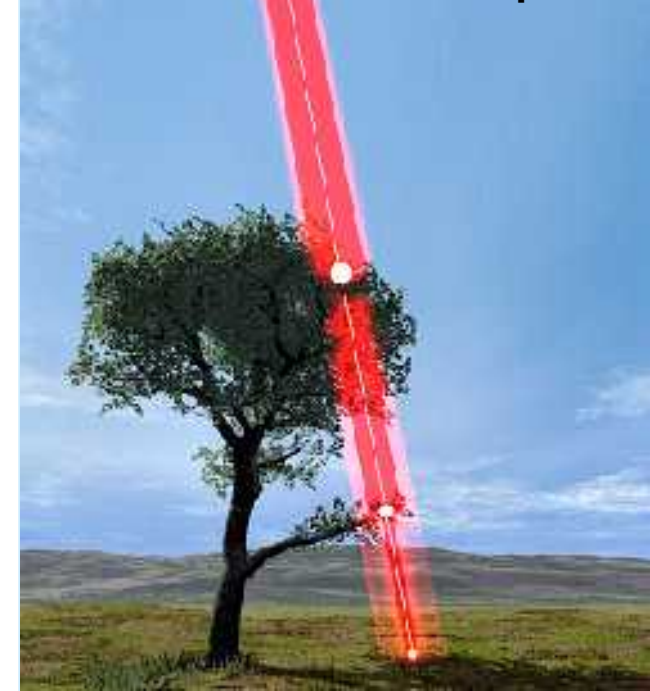


**ALS = Airborne Laser Scanning**  
**LiDAR = Light Detection and Ranging**

**Tree Height Measurement with First (FE), Medium and Last Laser Echo (LE) or with Full Waveform Digitization;**

**100,000 Laser Pulses per sec** or

**360.000.000 Laser Pulses per h**



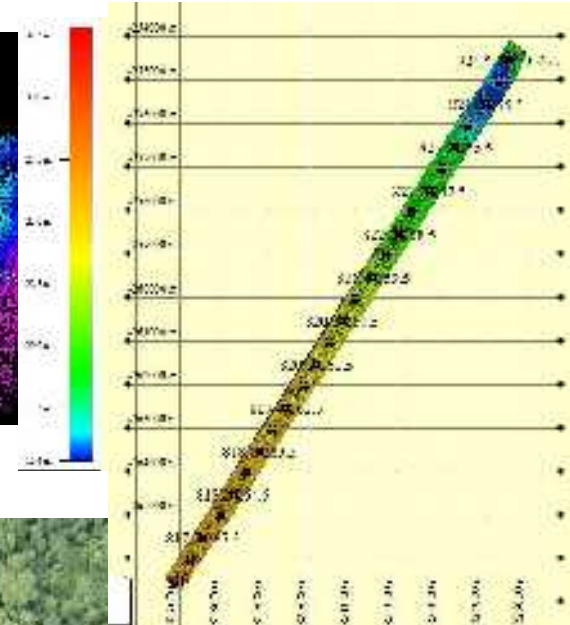
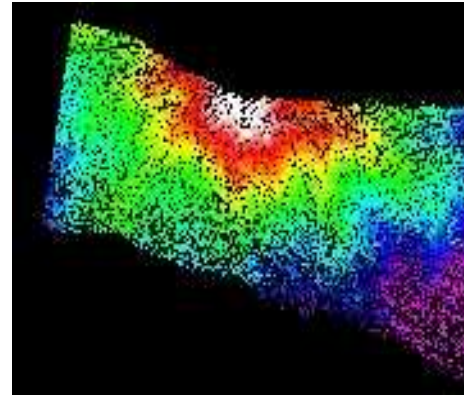
## LiDAR data and processing

- 1) The airborne LiDAR data were acquired from beginning of August, 2007 in Central Kalimantan;
- 1) The received LiDAR data demonstrates the good usage for REDD+ and MRV for above-ground biomass estimation
- 2) The Riegl laser-scanner LMS-Q560 was mounted under a Bell 206 helicopter;
  - 1) Small footprint LiDAR data collected for a flight altitude of approx. 500m with a scan angle of 60° with produced a swath-width of approx. 500m (Boehm et al. 2007, 2008);
- 5) We used for this analysis the first and last pulse Laser echoes only. Full-wave data are available for more detailed biomass analyzes;
- 6) The Laser scanner had a pulse rate of 66kHz resp. 100kHz with a beam divergence of 0.5mrad or a footprint of approx. 0.25m;

## LiDAR data and processing

- 7) The ground backscattering in PSF through the canopy was responsible for 1% to 3% of the 0.5mrad Laser beams;
- 8) The DGPS ref. station was located at the airport of Palangka Raya (25.0m ASL);
- 9) The position and orientation of the LiDAR system on the helicopter was measured by an Inertial Navigation System (INS) and a GPS located on the tail boom with 256Hz;
- 10) After the correction of the attitude of the helicopter, the elevation accuracy of each Laser beam was  $\pm 0.15\text{m}$  with a root mean square error (RSM) of  $\pm 0.5\text{m}$  in both x- and y-direction;
- 11) The processed laser beams were divided into ground surface and overground classes and converted in order to digital surface model (DSM) and digital terrain model (DTM), respectively, at a spatial resolution of 1m;

# Material and Methods



**Helicopter  
with  
LiDAR +  
Camera**

**DTM,  
Ortho-  
Photo  
Track 24**

## Statistical Modeling

$$\text{Eq. 1 } RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - j_i)^2}$$

$$\text{Eq. 2 } Bias = \frac{1}{n} \sum_{i=1}^n (y_i - j_i)$$

$$\text{Eq. 3 } RMSE_r = \frac{\sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - j_i)^2}}{y_m} \times 100$$

$$\text{Eq. 4 } Bias_r = \left[ \frac{1}{n} \sum_{i=1}^n (y_i - j_i) \right] y_m \times 100$$

where:  $j_i$  is the predicted value,  $y_i$  is the observed value,  $y_m$  is the mean of observed value and  $n$  is the number of plots in test dataset.

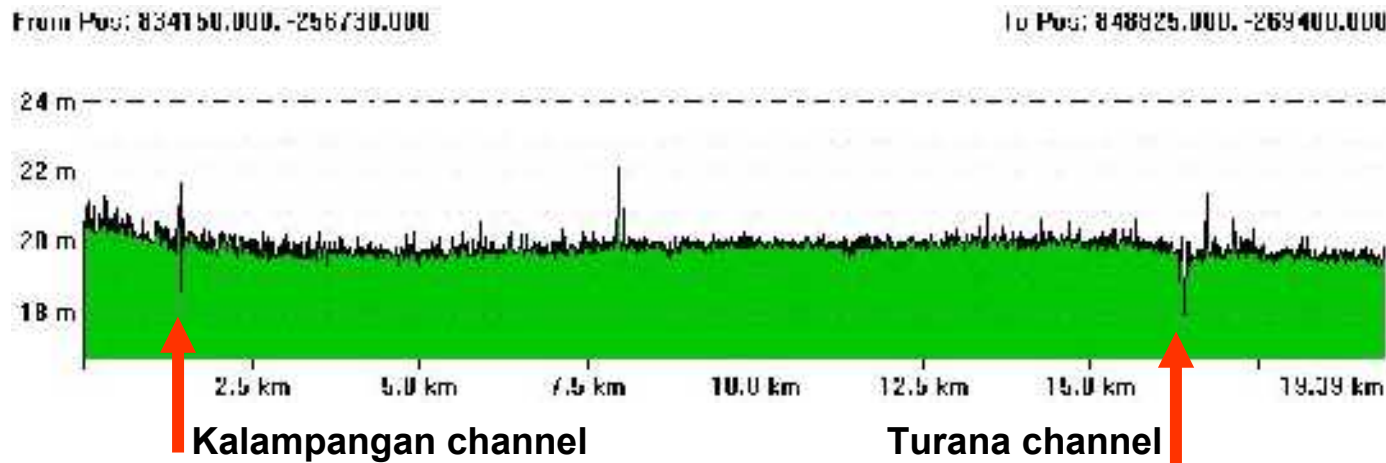
- The relationship between tree height and peat dome slope employed a linear regression analysis (i.e.  $y_j = ax + b$ );
- The slope value for each sample plot was used as the predictor for tree height determination;
- Complementary we also evaluated the analyses of the residuals (i.e. observed value minus predicted value) and we apply Cook's distance to identify outliers (e.g. flat areas where no slope was observed);

# Results and Discussion

## Peat Profile

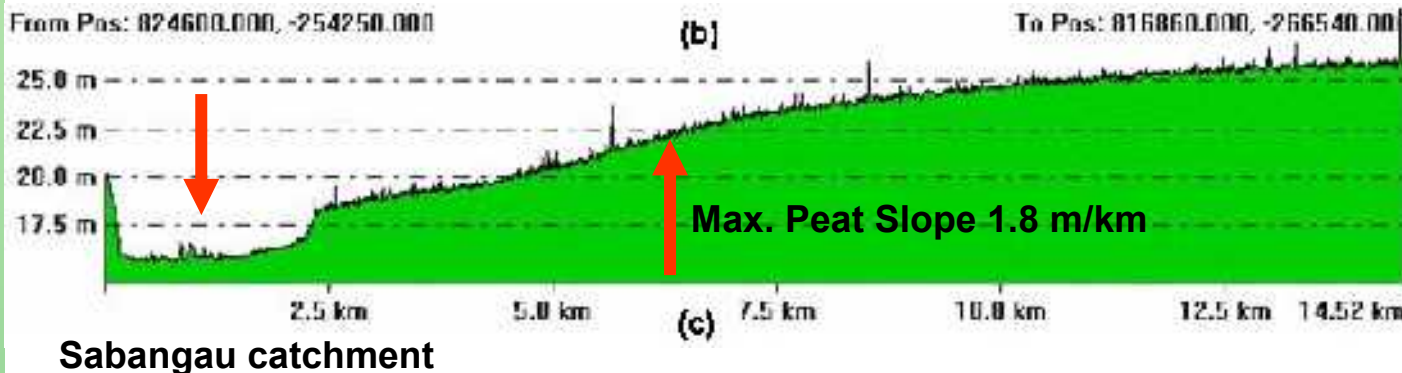
### Variations in relief aspects of selected peat surfaces

**LiDAR-DTM  
profile area in:**



(a) Turana channel  
Block C  
Track 041a

Remark:  
different x- and y-scales



(b) Sabangau transect  
Track 025



# Results and Discussion

## LiDAR Transects

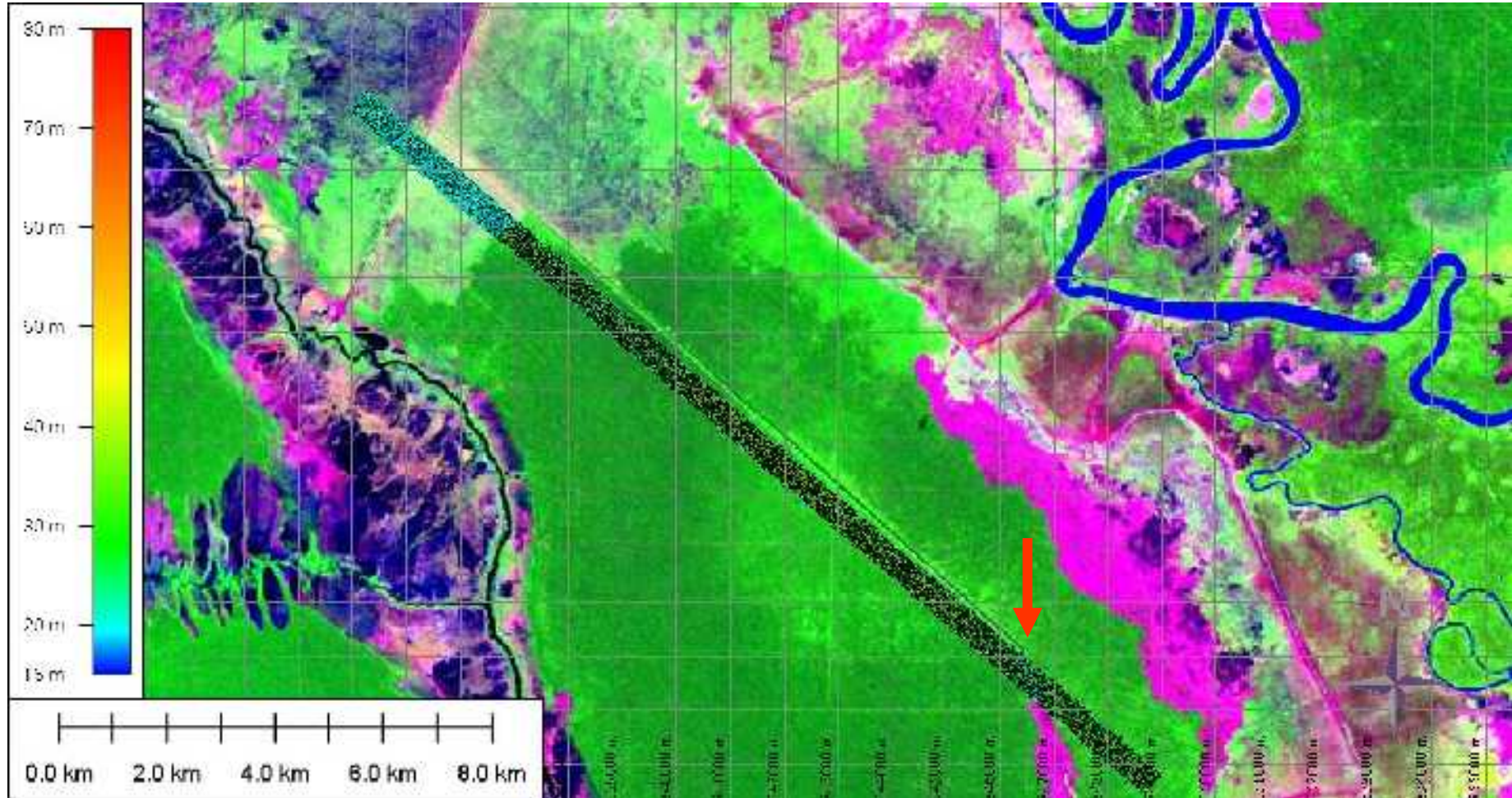
### Variations of relief aspects of selected peat surfaces

**Table 1. Summary of the three LiDAR transects under study**

Peat area transect	River level	Peat dome	Used trans. length	Max. slope (m / km)	Avg. avg. tree height	Avg. max. tree height
<b>Block C -Turana (a)</b>	15.5m	<b>20m</b>	19.5km	<b>0m/km</b>	<b>11.2m</b>	<b>27.2m</b>
<b>Sabangau km256S (b)</b>	15.5m	<b>26m</b>	12km	<b>1.7m/km</b>	<b>14.0m</b>	<b>29.4m</b>

# Results and Discussion

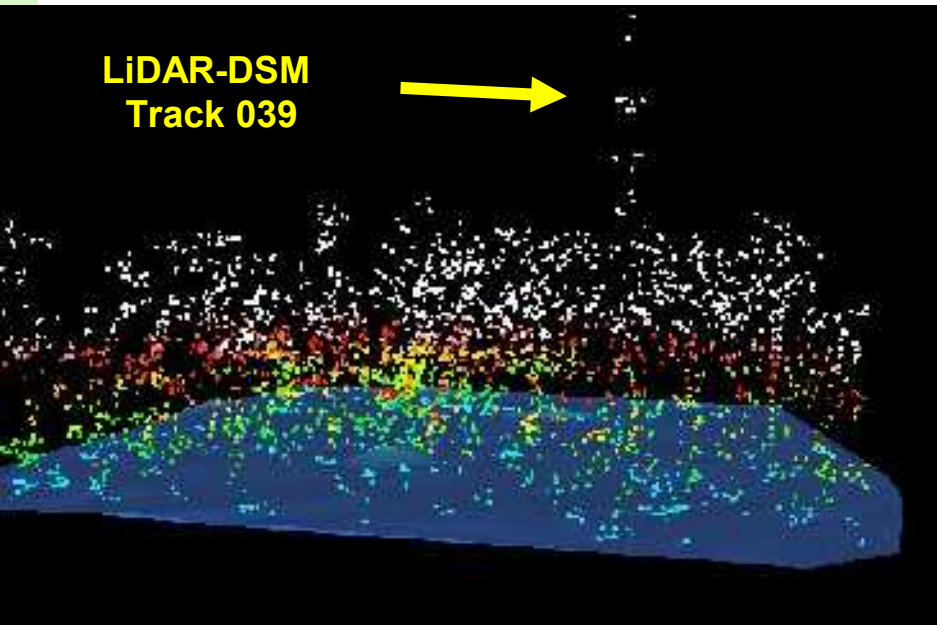
## Tree Heights



# Results and Discussion

## LiDAR-DSM Tower 1

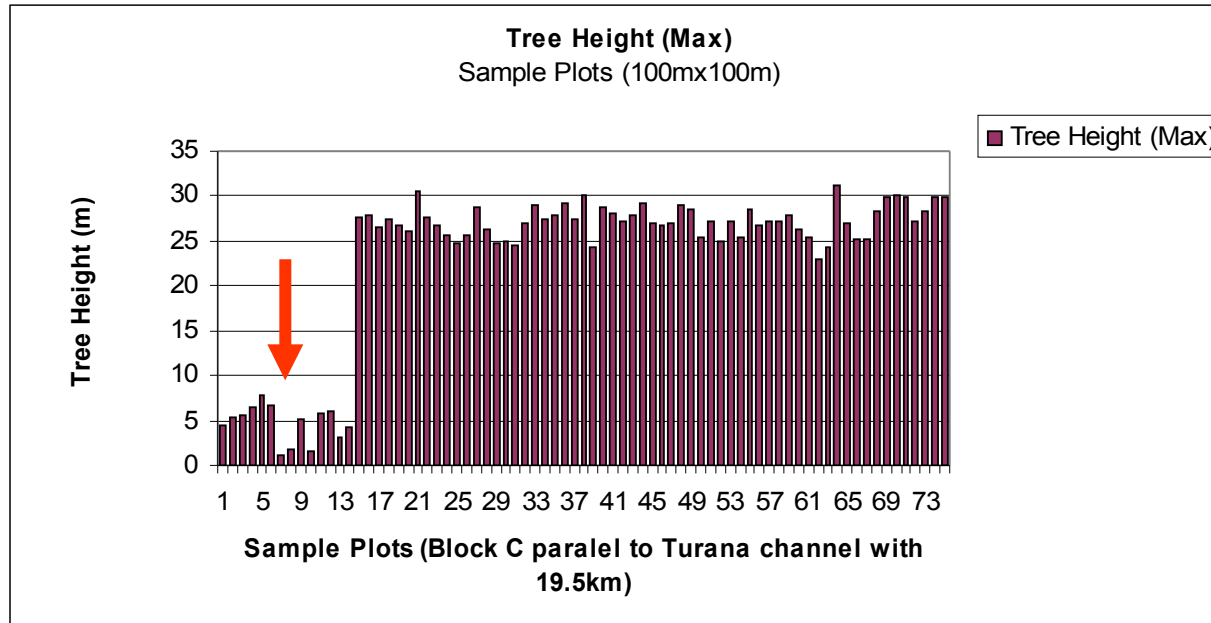
Block C-Turana channel:  $-2.346^{\circ}$   $+114.03641^{\circ}$ ,  
 $-259670\text{m S}$ ,  $837755\text{m E}$ , UTM, WGS84, Zone 49S



# Results and Discussion

## Tree Heights

### Clear cut



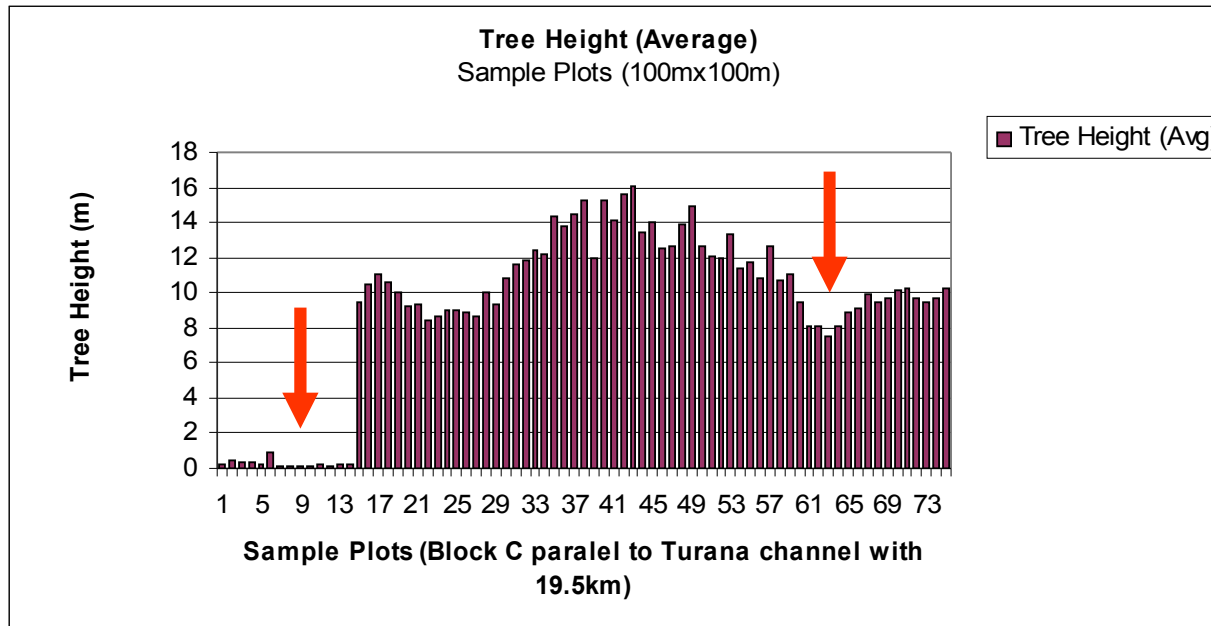
Maximum tree height for 75 sample plots,  
each 100mx100m parallel to Turana channel,  
**Averaged maximum tree height 27.2m**

# Results and Discussion

## Tree Heights

Clear cut

Turana channel



Average tree height for 75 sample plots,  
each 100mx100m parallel to Turana channel,  
**Averaged average tree height 11.2m**

# Results and Discussion

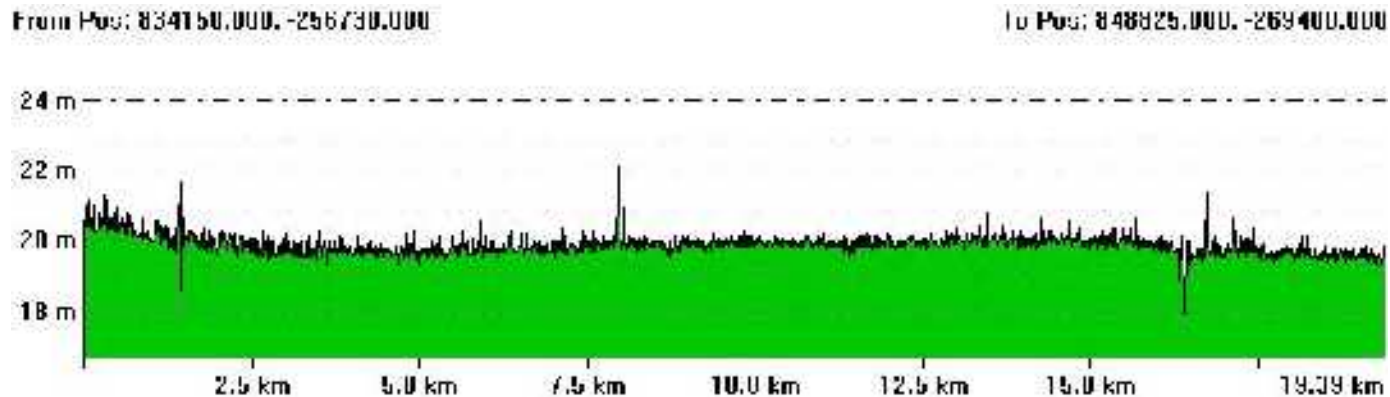
## Tree Heights, AGB-biomass

### Turana channel

### Transect

### Tree Height

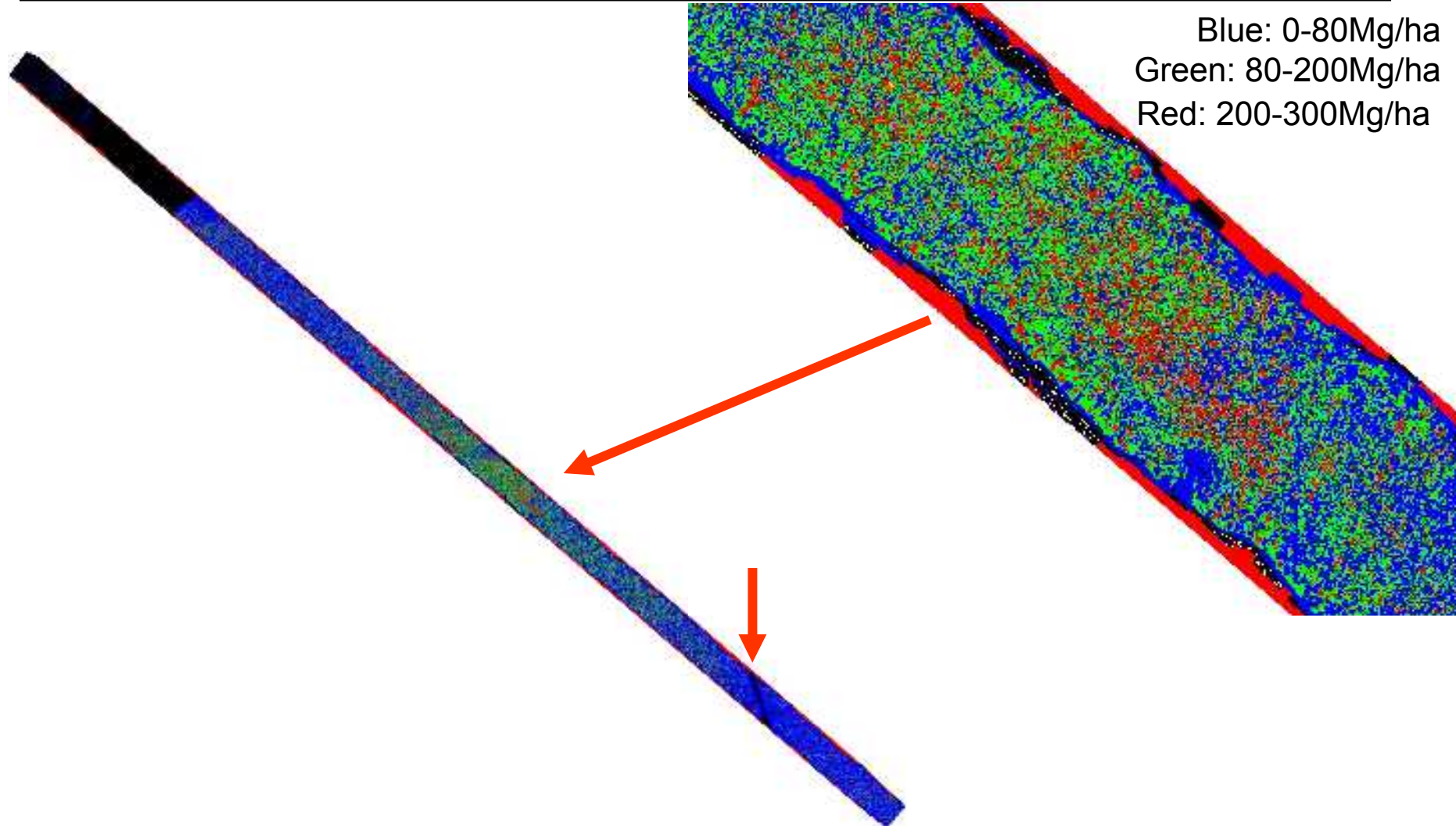
### Classification



Above-Ground Biomass (AGB) in Mg/ha for 75 sample plots,  
each 100mx100m parallel to Turana channel,  
ranged from 85 to 390 Mg/ha  
using regression formular  $AGB = 0.378 * h(avg)^2$   
after Lefsky et. al 2001

# Results and Discussion

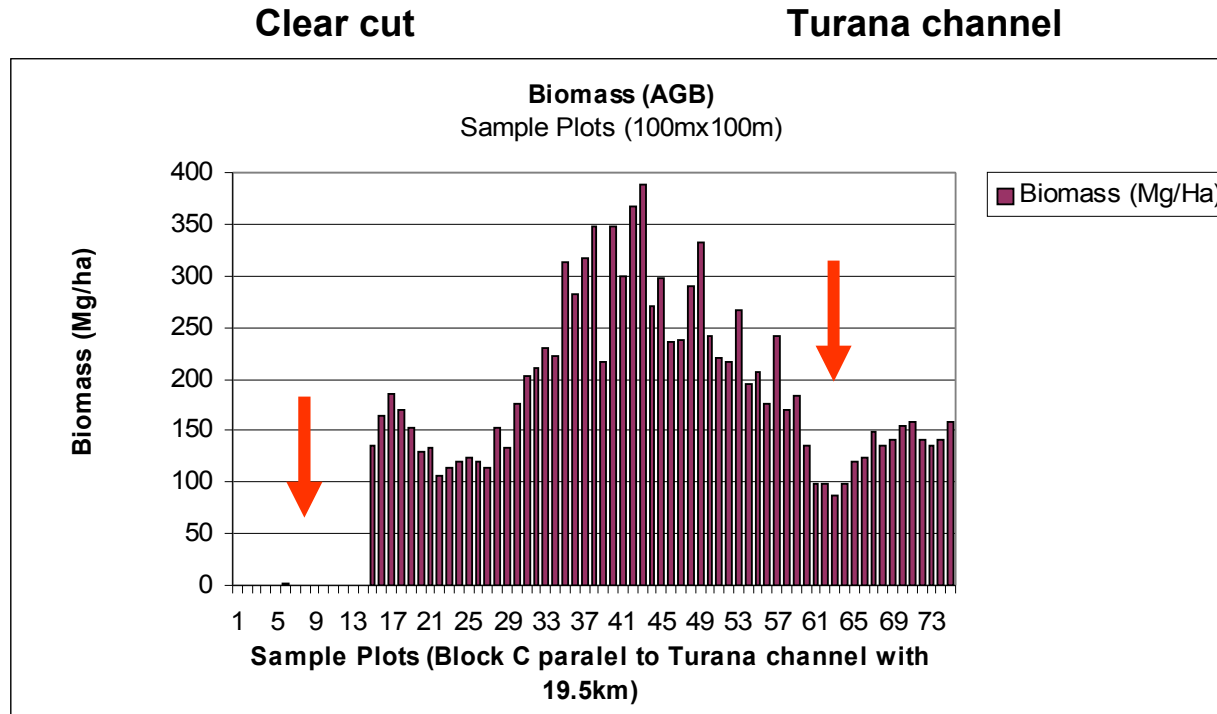
## Tree Heights, AGB-biomass



Track 041a is parallel to Turana channel,  
estimation of Above Ground Biomass  
(AGB)

# Results and Discussion

## Tree Heights, AGB-biomass



Above-Ground Biomass in Mg/ha for 75 sample plots, each 100mx100m paralel to Turana channel, ranged from 85 to 390 Mg/ha using regression formular  $AGB = 0.378 * h(\text{avg})^2$  after Lefsky et. al 2001



# Results and Discussion

## Tree height vs Slope



**Aerial Photo of Kereng Bangkirai  
and Camp Nat. Laboratory  
taken on 6.8.2007**

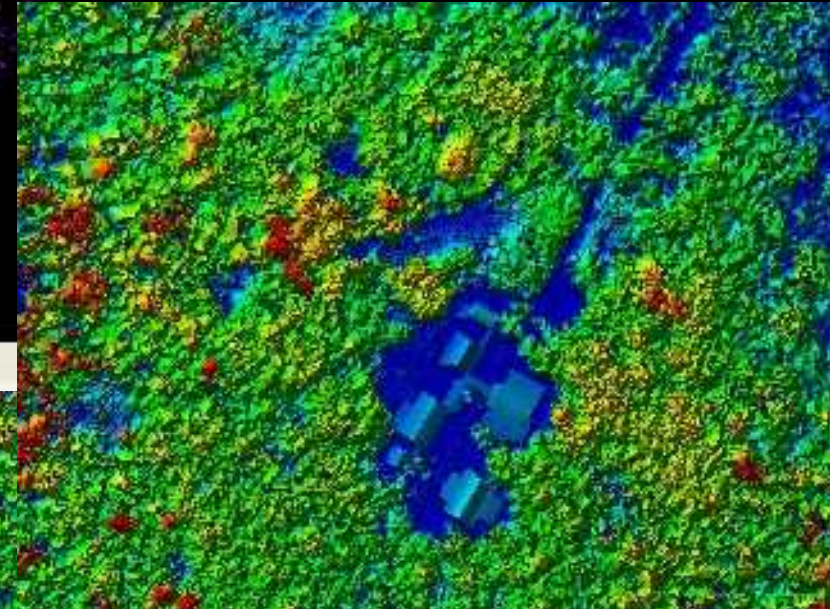
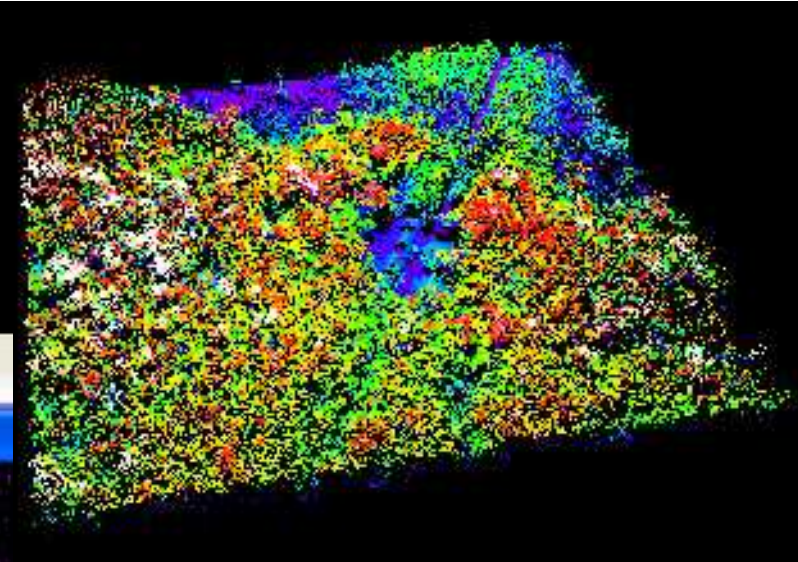
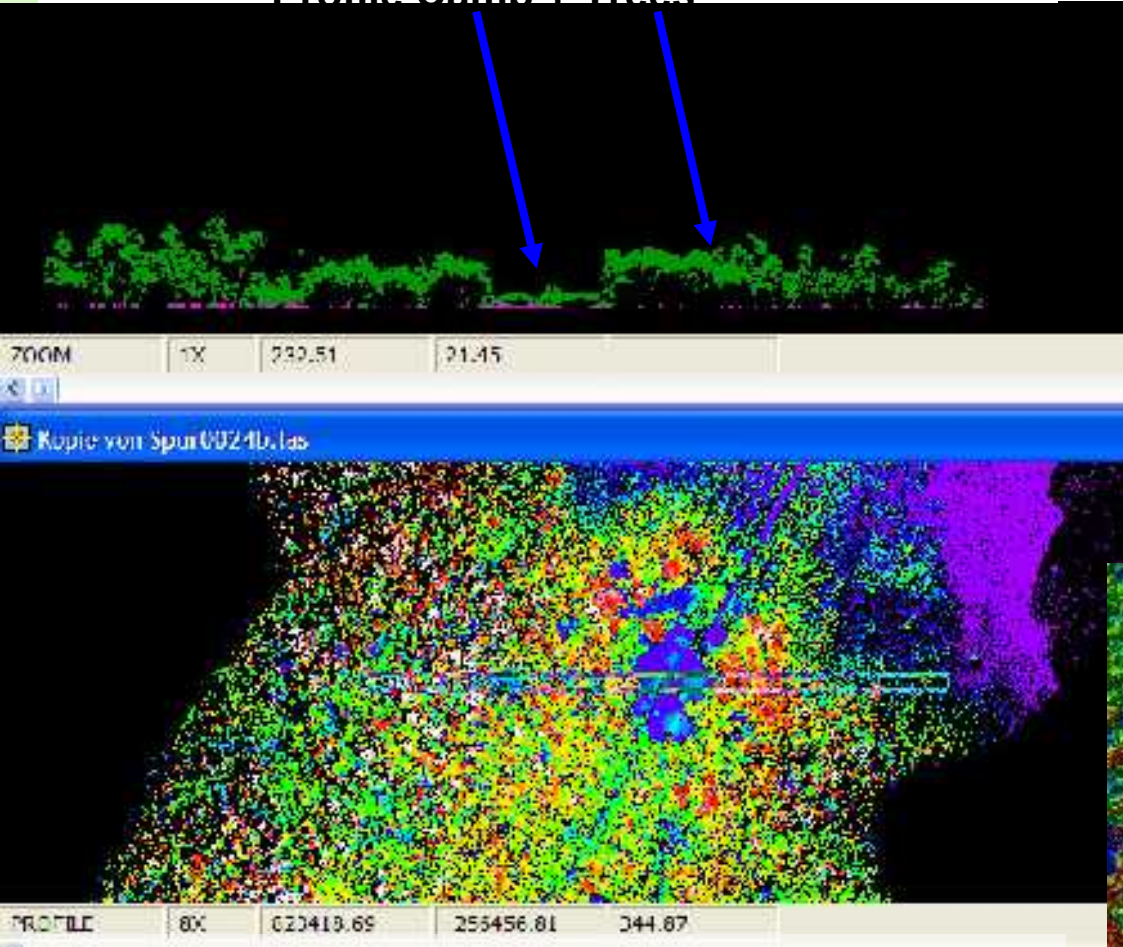
**CIMTROP camp with  
fires from 2006 in catchment**



# Results and Discussion

## Tree height vs Slope

Profile Camp + Trees

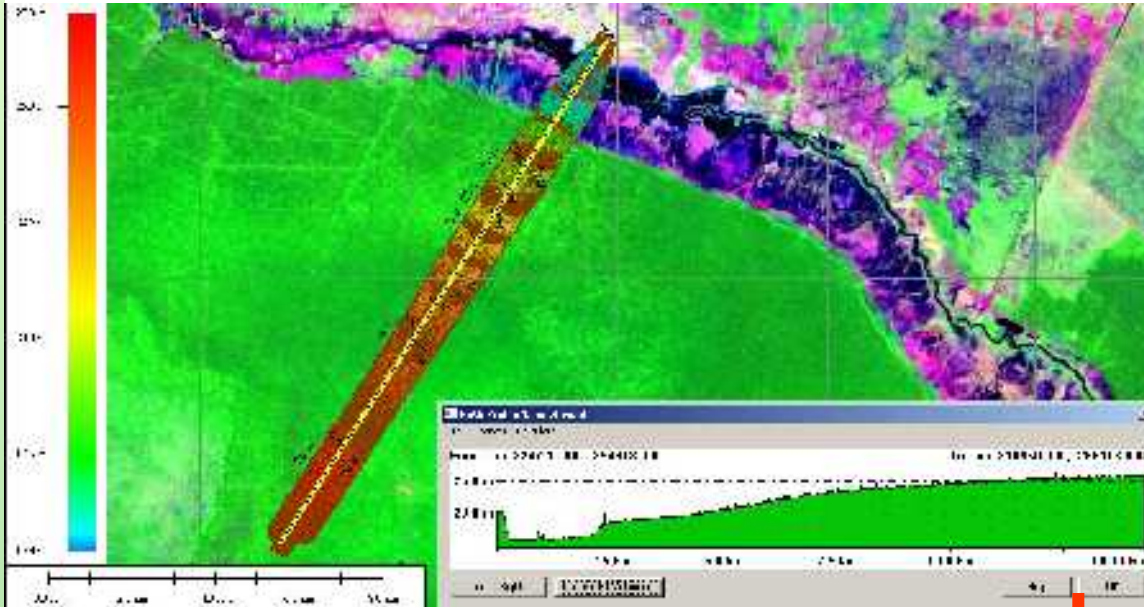


**ALS-DSM + DTM of Nat. Lab. Camp with profiles and 3D-presentation, Each tree can be resolved**

and analysed

# Results and Discussion

## Tree height vs Slope

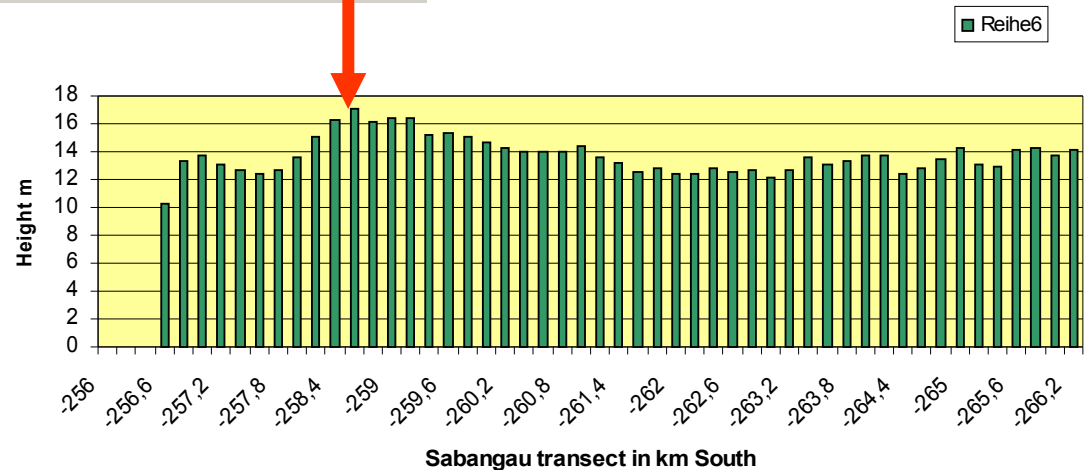


**Sabangau Cimtrop  
Transect,  
Peat Swamp Forest  
DTM with 1m contour lines  
18m (15m) to 26m  
PSF 10 years not logged  
1997 - 2007**

**Average Tree Height  
without terrain**

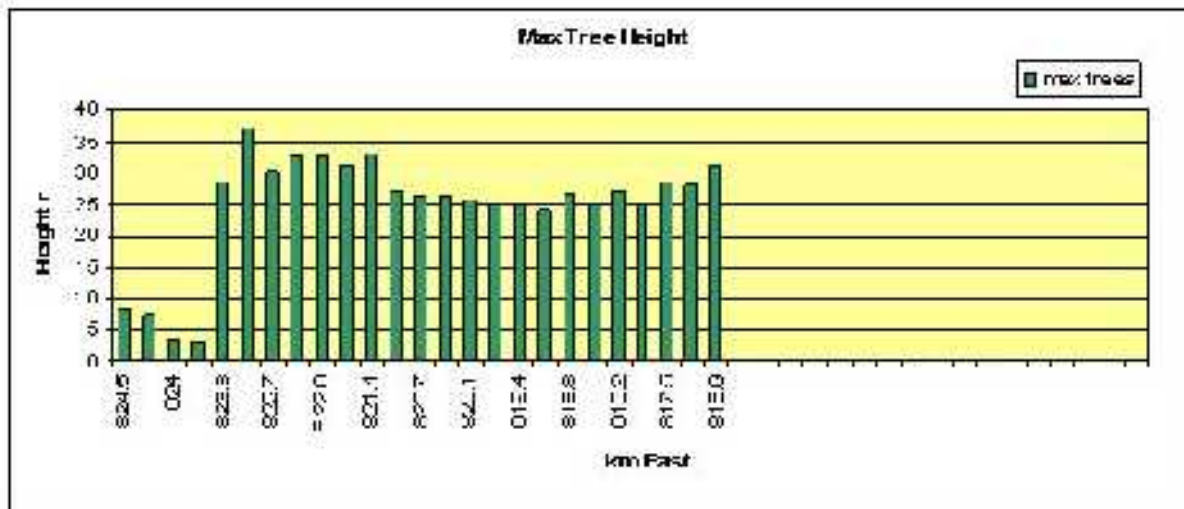
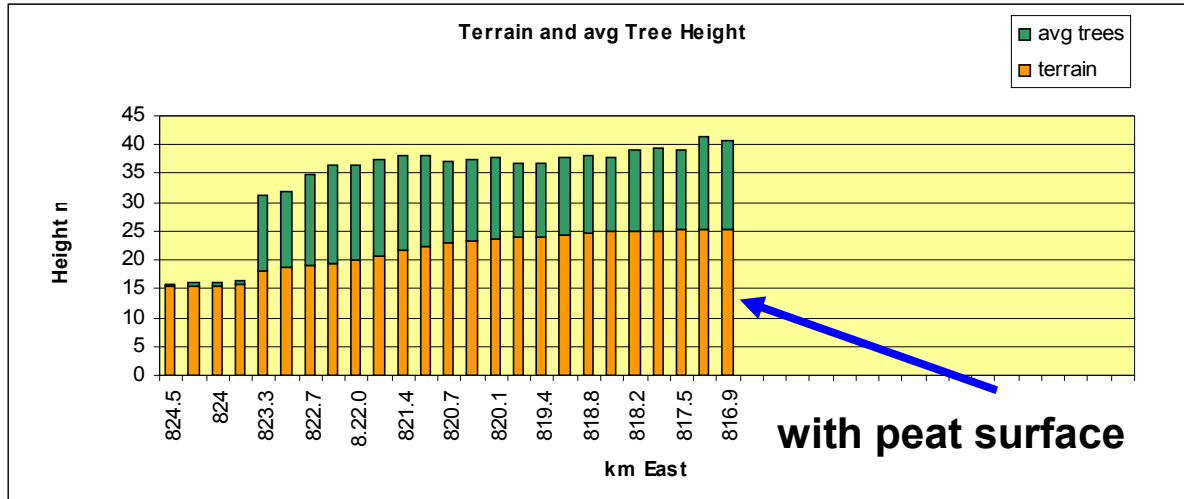
**Samples of track 025  
taken approx. 400m  
away from transect**

Average Tree Height



# Results and Discussion

## Tree height vs Slope



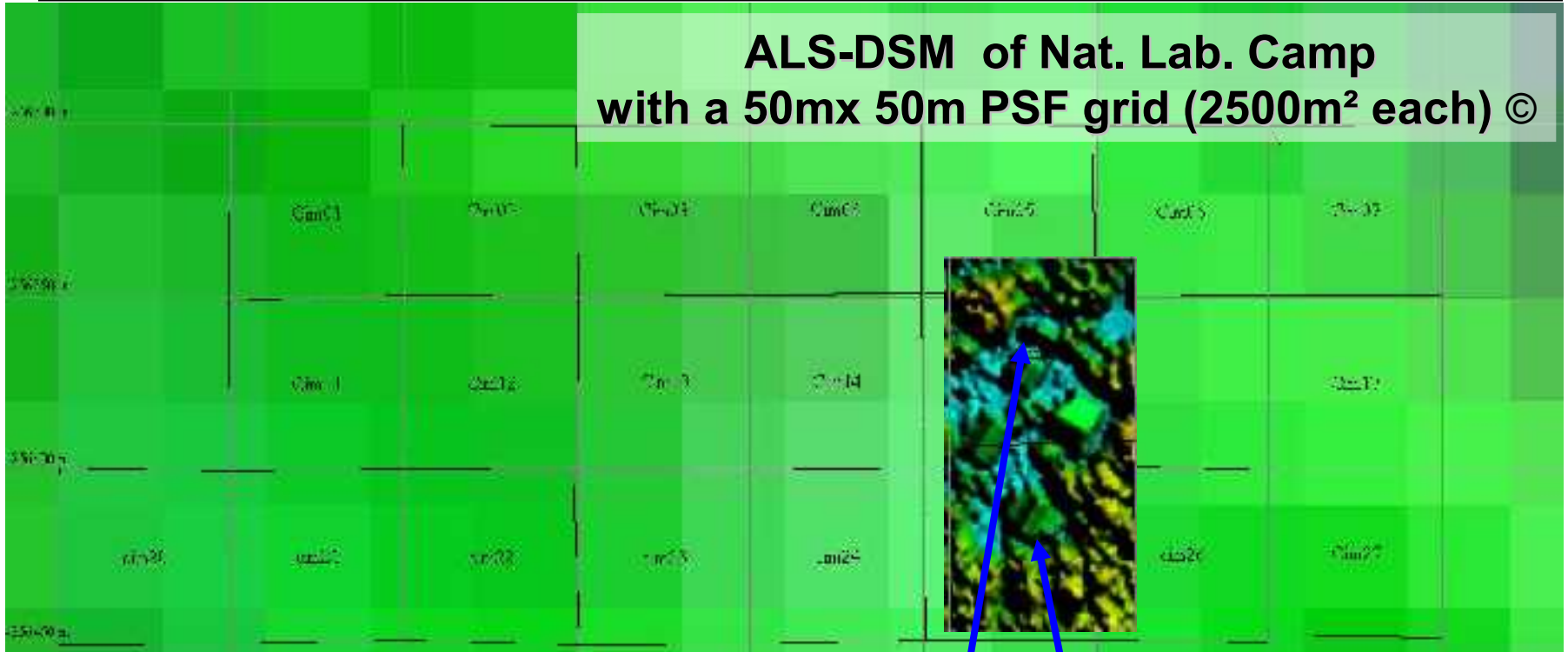
**Averaged tree height** without the peat surface. A strong relation between tree height and peat slope is existing. At km822.3 we have the highest averaged trees with 17.1m. A lot of water and good soil nutrition's are here available. At km819.4 we found the lowest averaged tree height value with 12.6m. The tree heights increases to the dome, may be caused by not to strong logging. No railway transect in the last three ALS measurements. The steepest peat surface is at km 822 with 0.7m-0.8m for 600m path length, that are approx. **0.17% max. slope.**  
**=> Good nutrients and permanent water saturation related with the permeability, interflow, water storage capability and nutrient availability in the peat slope/dome.**

**Max. tree height** with up to 37.3m at the slopes to the peat dome here at km822. Sabangau ALS-DTM Track24 with **peat dome of**

# Results and Discussion

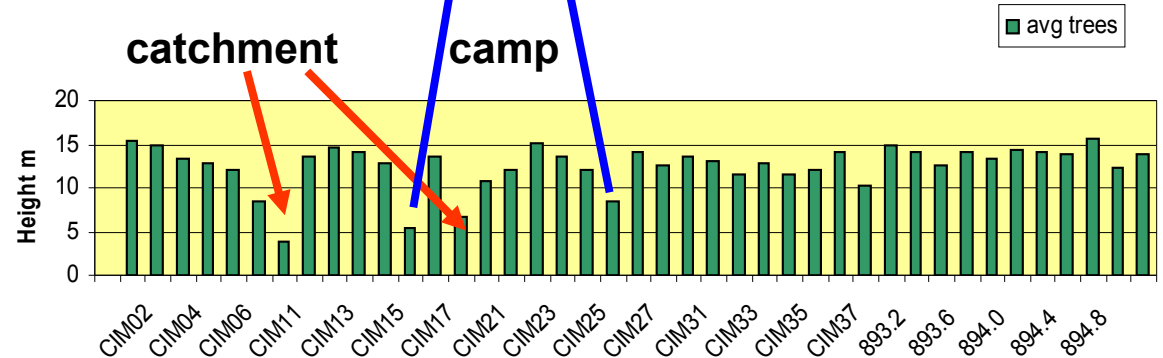
## Tree height of camp area

**ALS-DSM of Nat. Lab. Camp  
with a 50mx 50m PSF grid (2500m<sup>2</sup> each) ©**



© V. Boehm / Kalteng Consultants

Average Tree Height

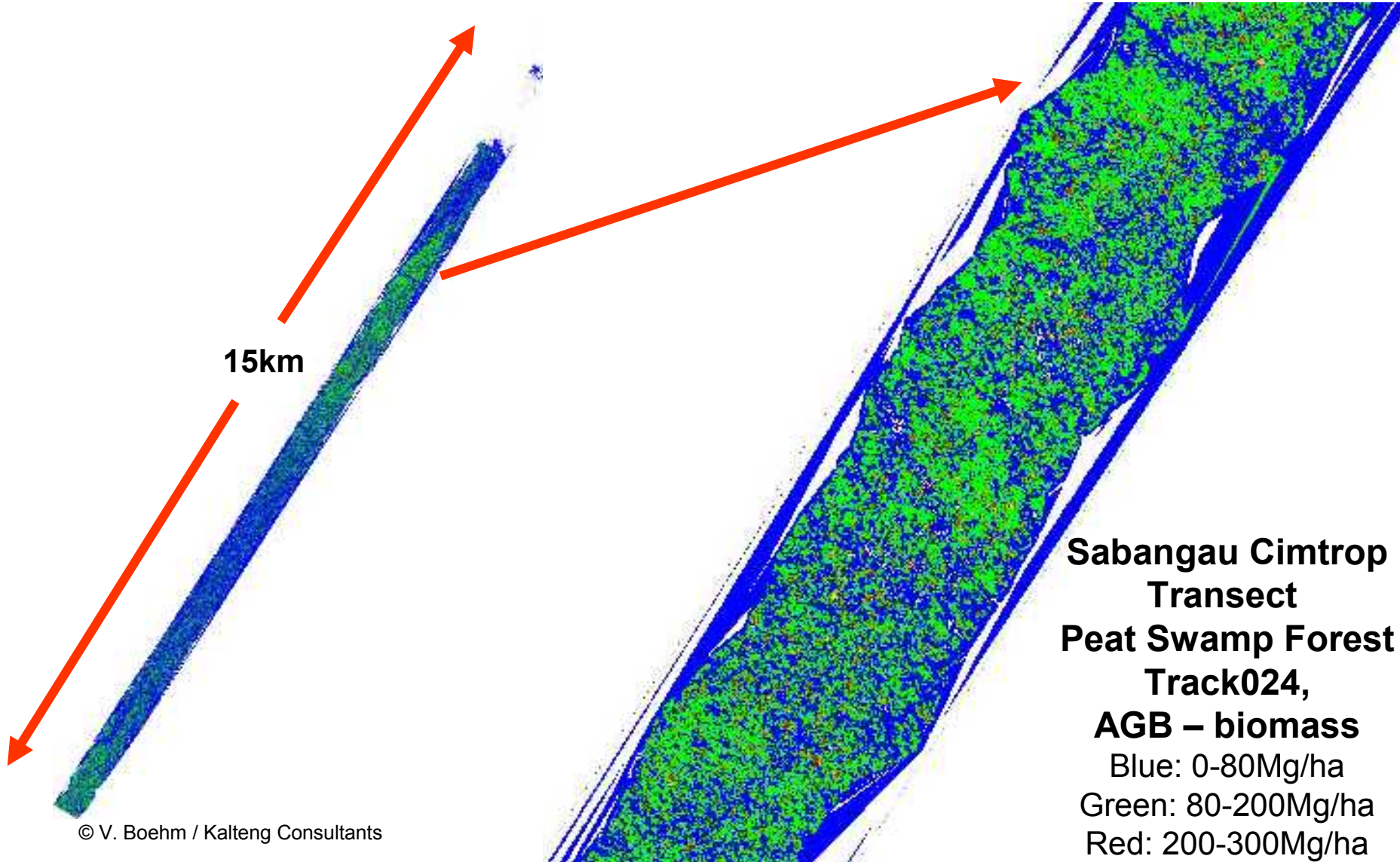


**Averaged tree height of each  
50m x 50m sample**

**AGB ~ a (h(avg))<sup>2</sup> Mg/ha ©**

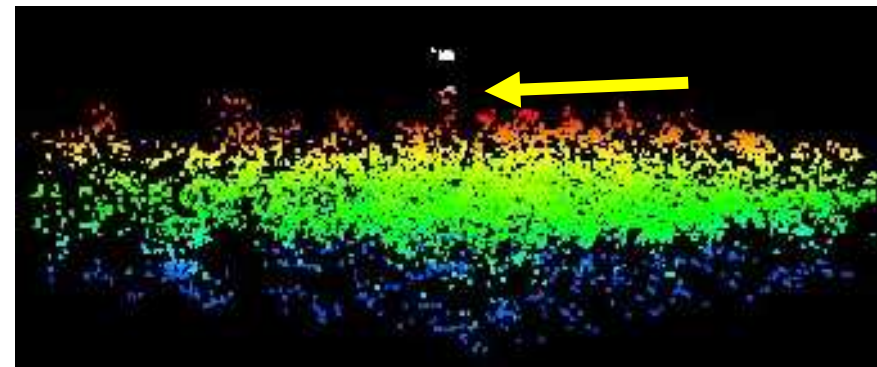
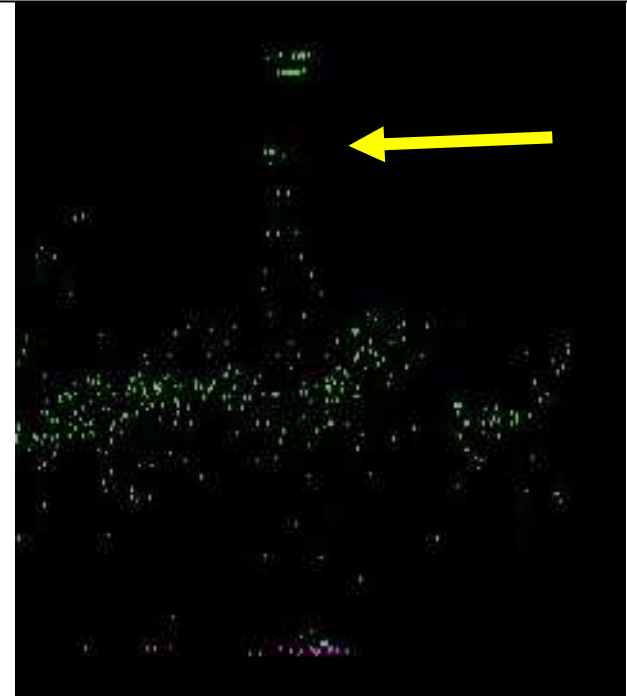
# Results and Discussion

## Tree height, AGB-biomass



# Results and Discussion

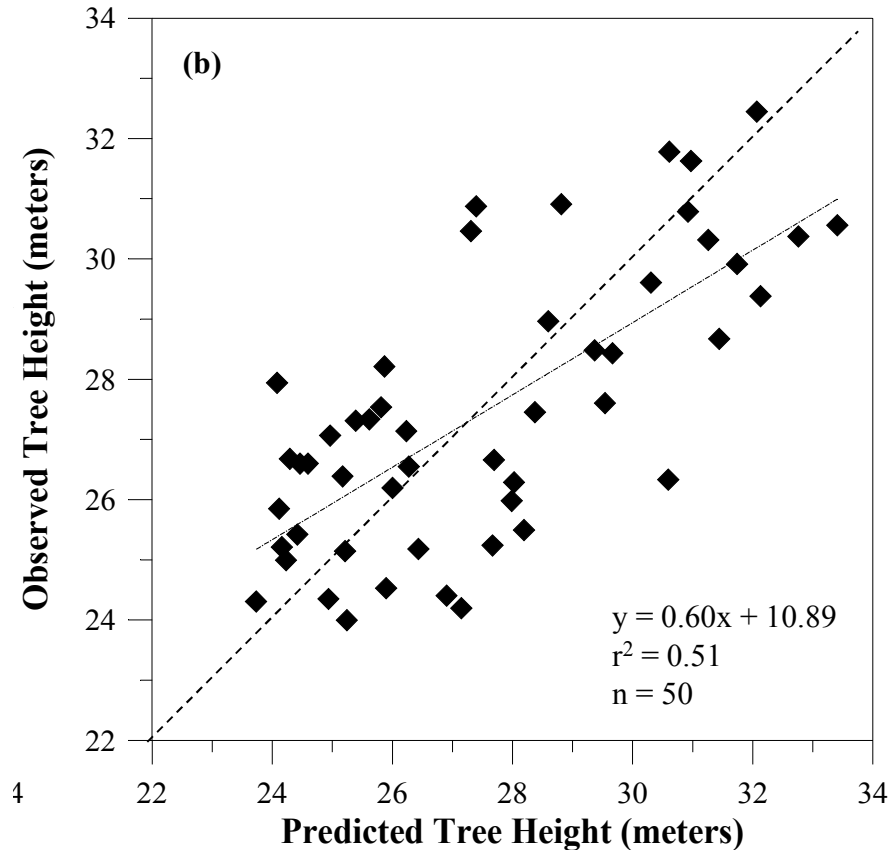
## LiDAR-DSM Tower 2



# Results and Discussion

## Tree height vs Slope

### *Sabangau Cimtrop Transect*

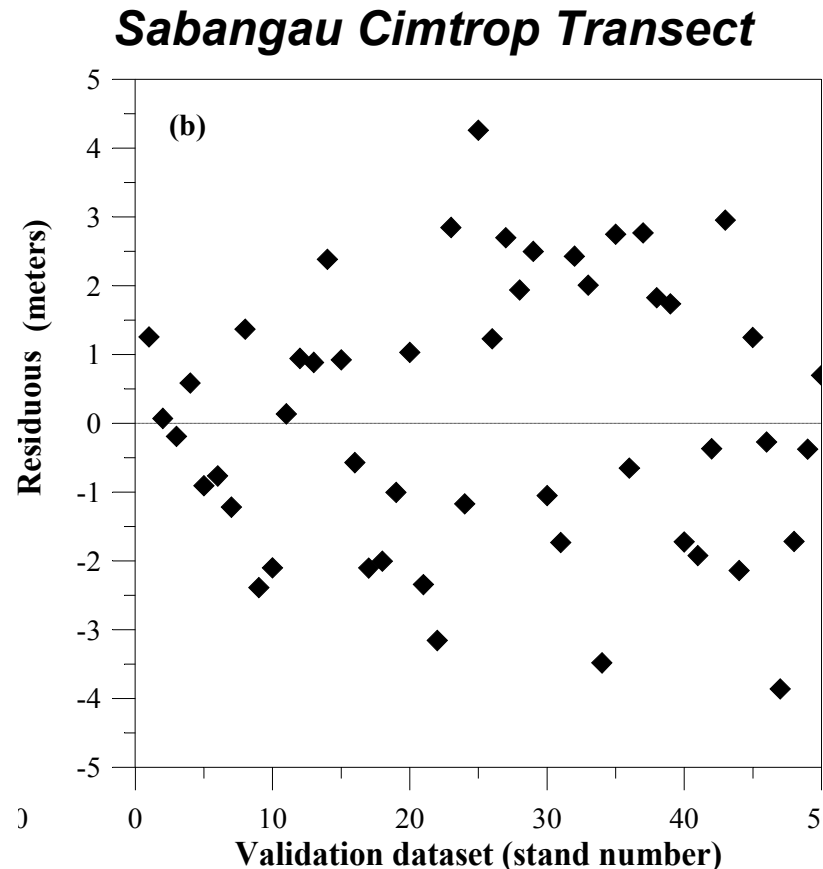


Relationship between observed and predicted tree height (validation dataset).  
The LiDAR and statistical attributes include validation dataset for Sabangau transects.



# Results and Discussion

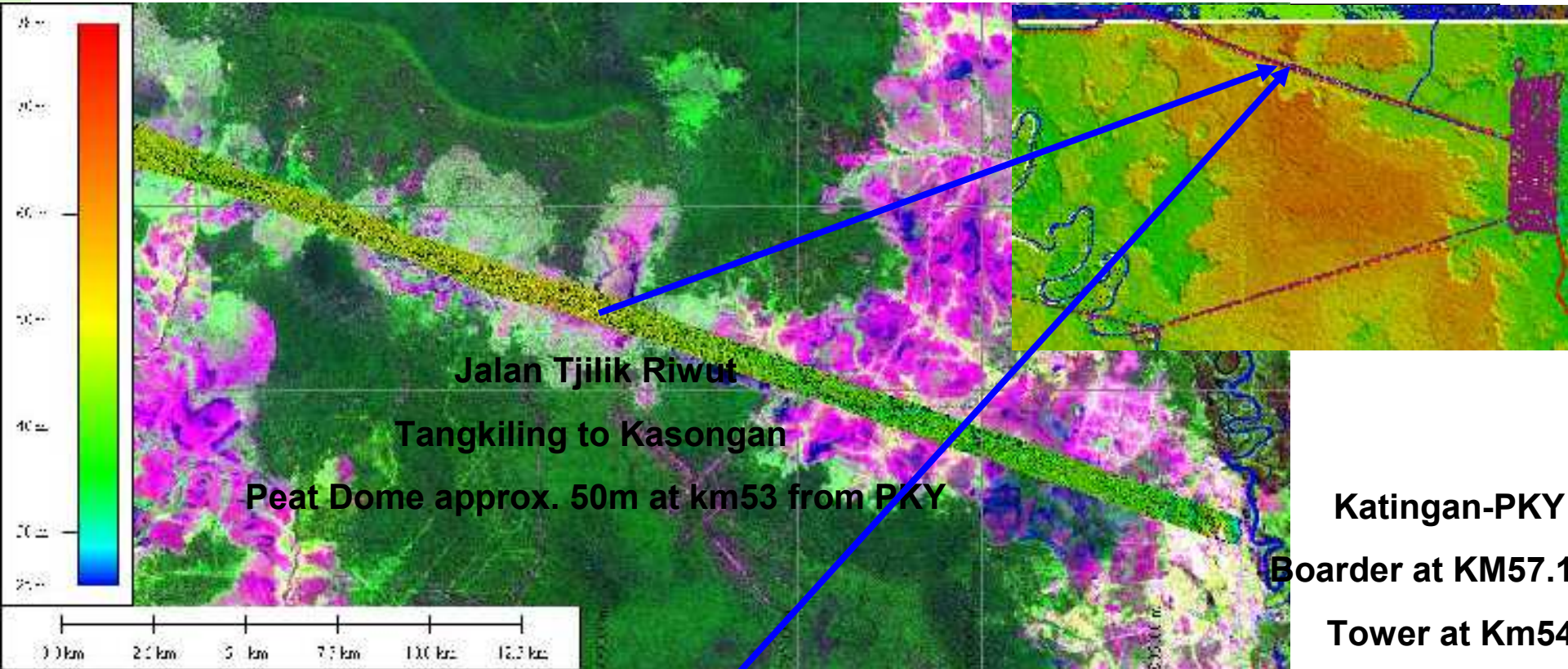
## Tree height vs Slope



Residuals obtained from the difference of observed and predicted tree height. The statistical attributes include validation dataset for Sabangau transect. Positive and negative values indicate in order over- and underestimation of the tree height.

# Results and Discussion

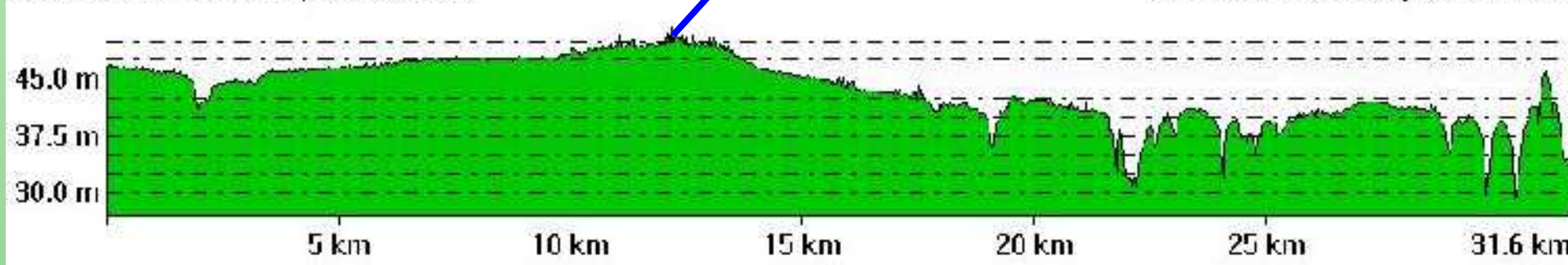
## Hampangan REDD+ project



From Pos: 777000.000, -208220.000

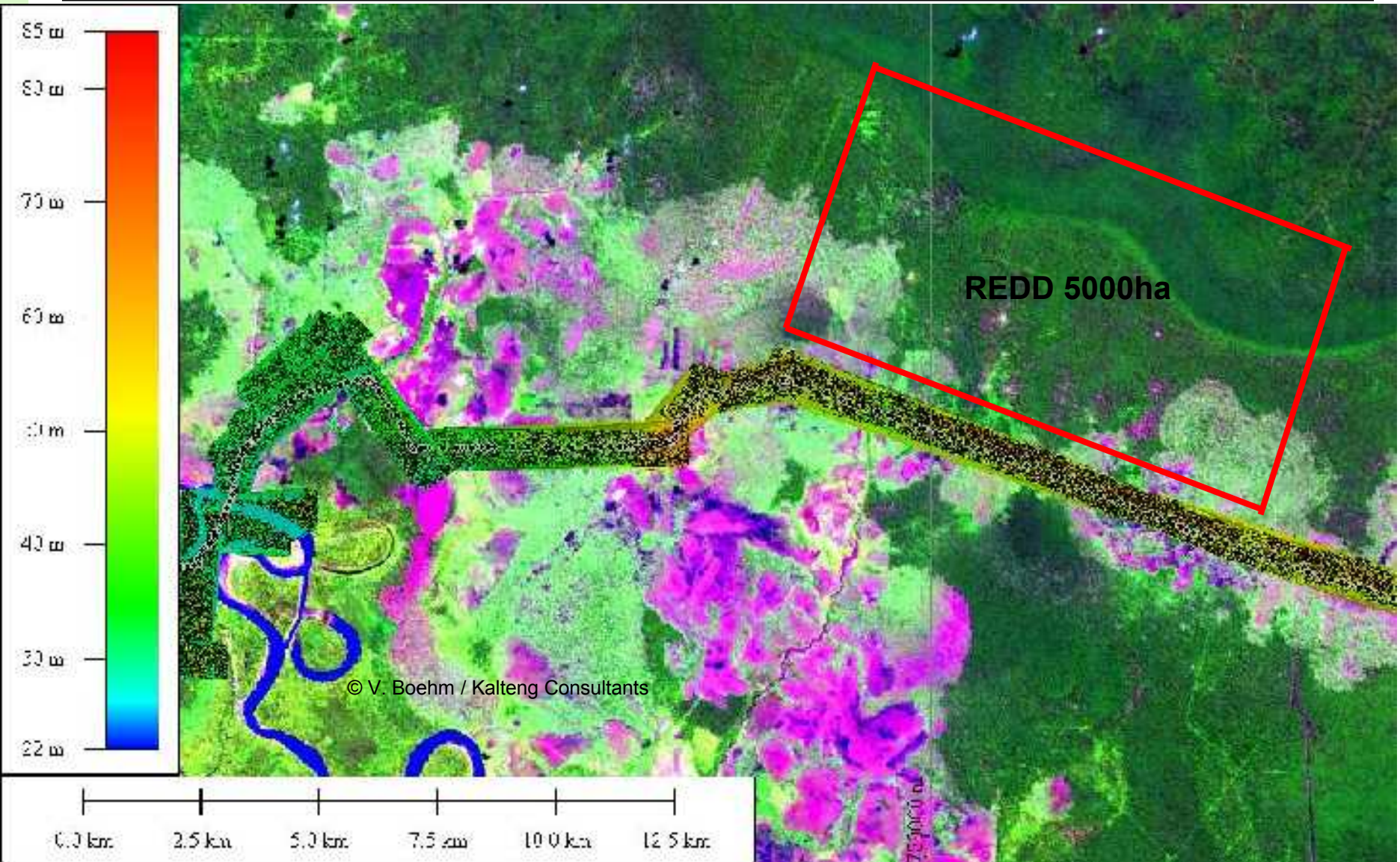
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To Pos: 806850.000, -218650.000



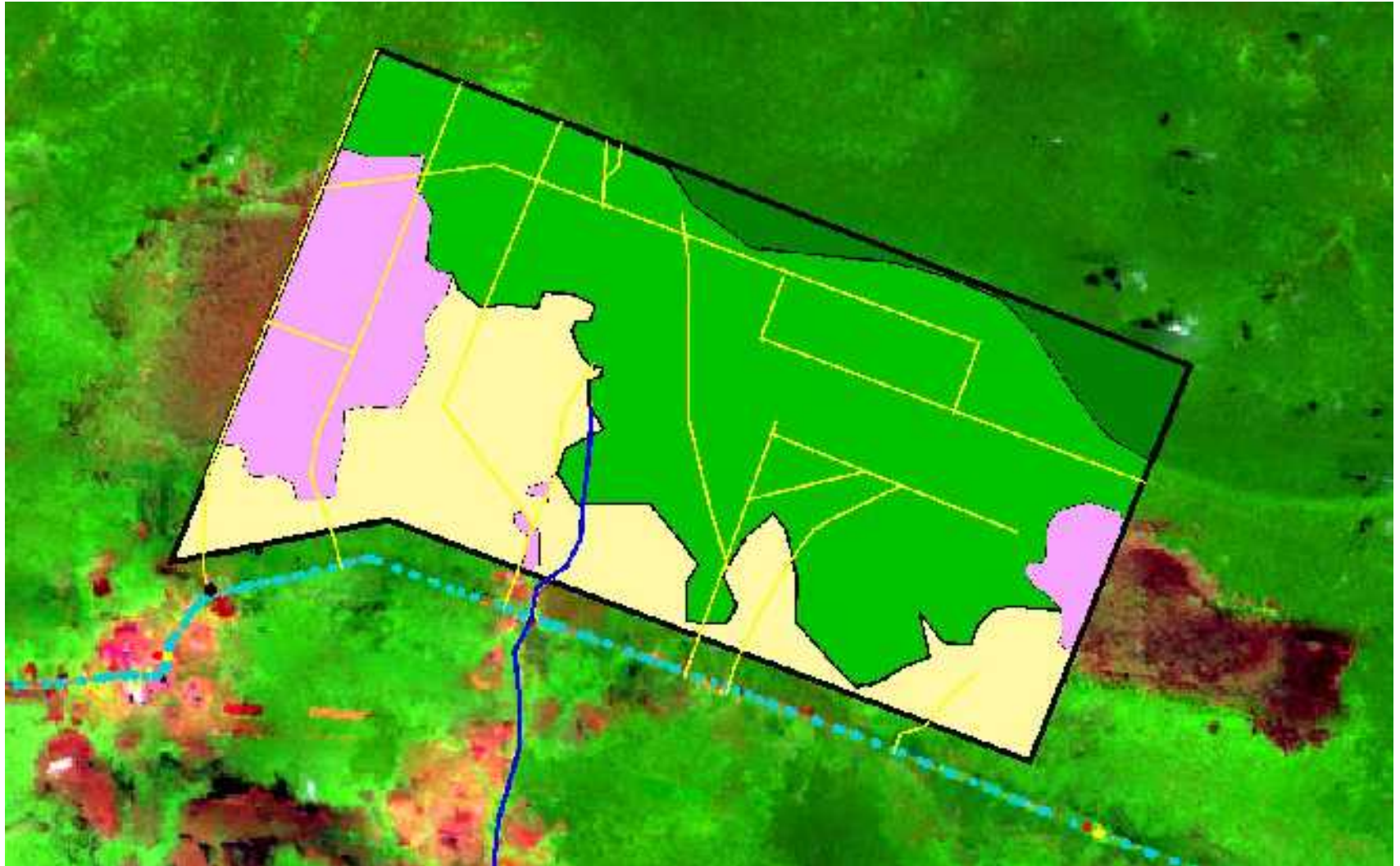
# Results and Discussion

## Hampangan REDD+ project



# Results and Discussion

## Hampangan REDD+ project



dark-green = primary/secondary forest, 282ha; green = degraded forest, 2920ha; blue = river; yellow = main logging roads; sandy = clear cut and shrubs, bushland, 1898ha; pink = fires 2002; black point = UNPAR-building

# Results and Discussion

## Hampangan REDD+ project

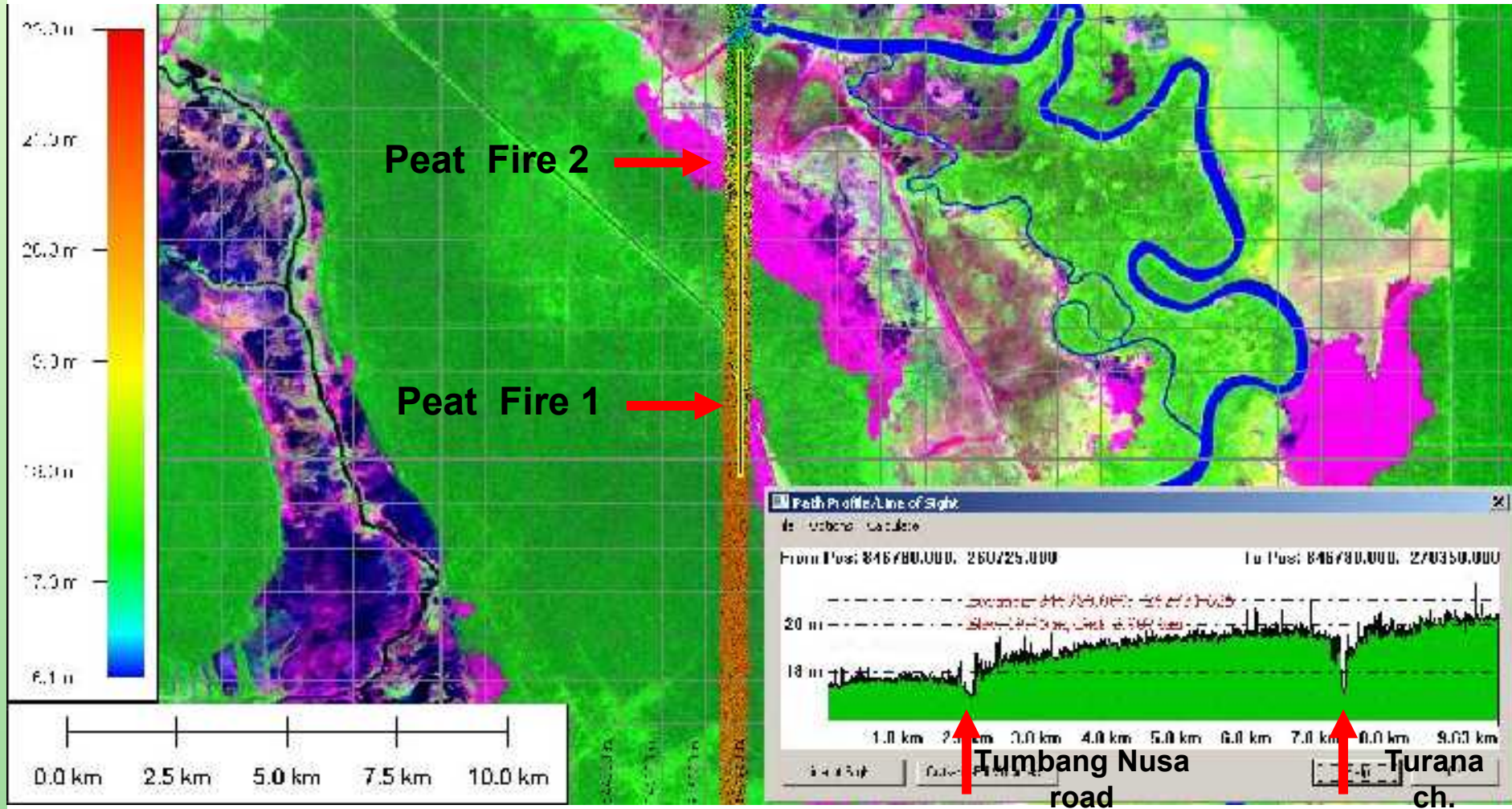
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- For the proposed **Hampangan REDD+ project LiDAR** data would be very helpful to analyse by multi-temporal measurements growth and loss of biomass
- With LiDAR technology **single tree detection** is possible and therefore the **above-ground biomass (DSM – DTM)** can be estimated
- **Peat dome** can be found with LiDAR technology
- **Storage of carbon amount** can be measured combined with peat drillings
- With **LiDAR data** Radar-data can be calibrated, Forest Inventory, Topographic Maps, find illegal logging channels in PSF, Flood Plain Mapping, Environmental Protection, Peat Growth and Peat Loss measurement (multi-temporal)

# Results and Discussion

## Fires 1 + 2 of 2006

Two fire area from 2006 near Tumbang Nusa and Turana channel



# Results and Discussion

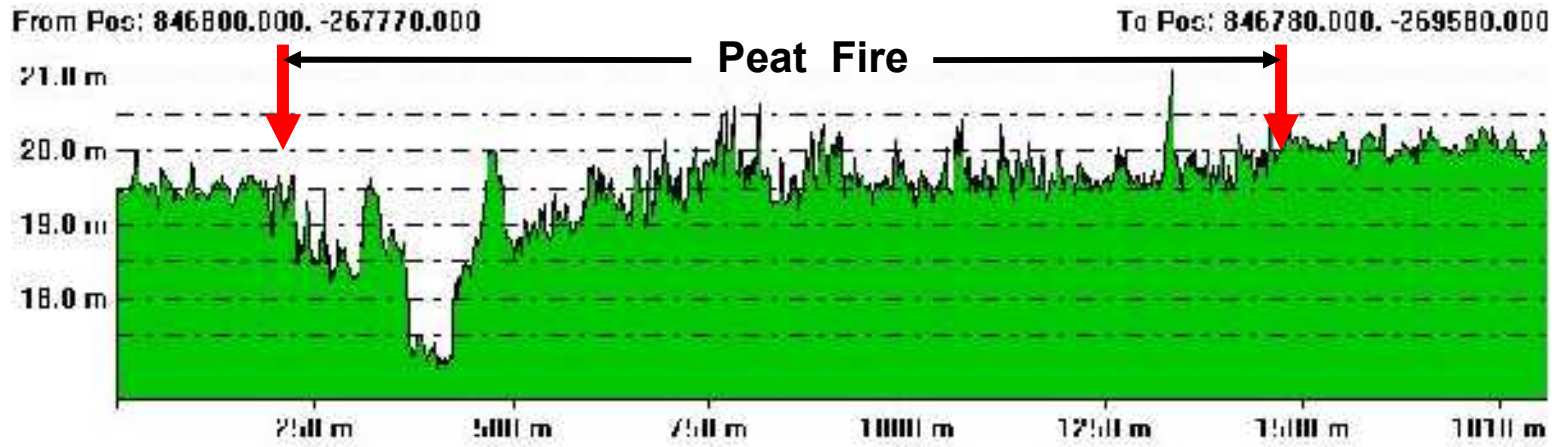
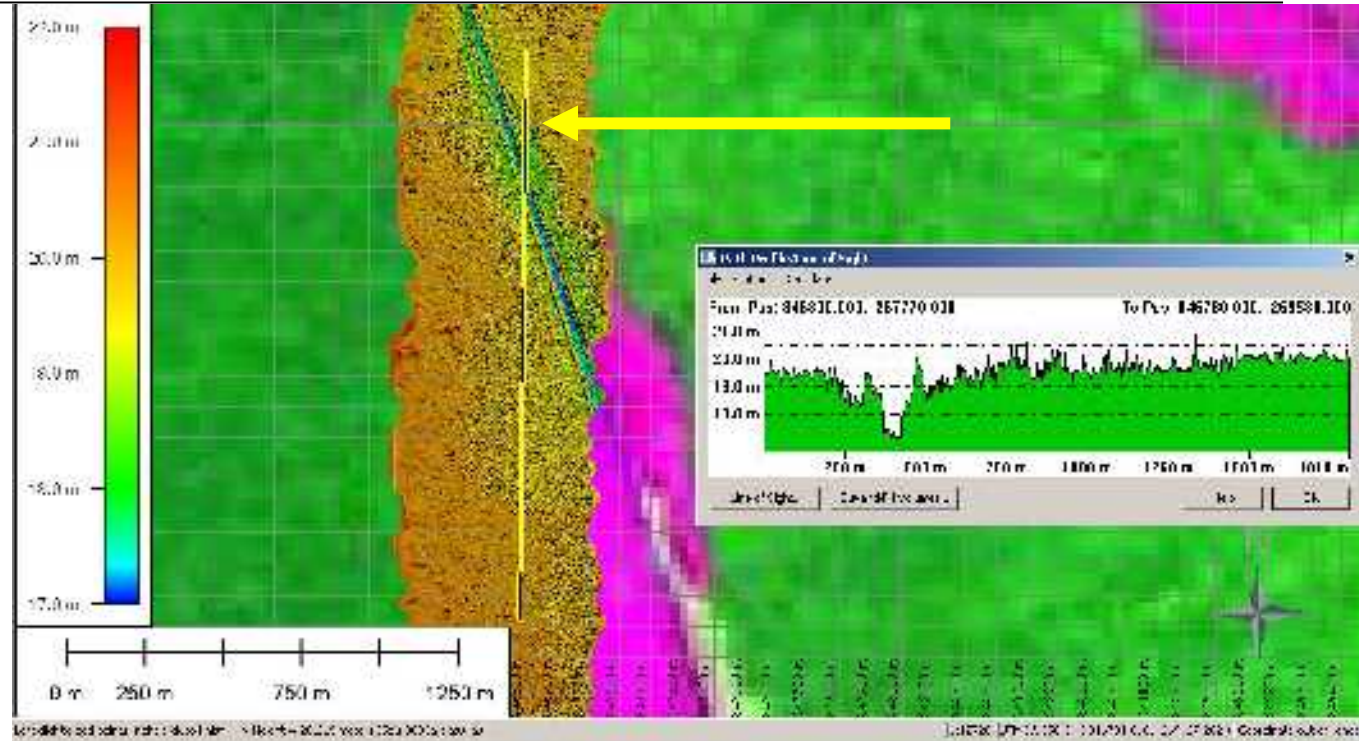
## Fire1 2006, Turana channel

© V. Boehm / Kalteng Consultants

**LiDAR-DTM +  
Landsat 2007**

**Turana channel**

**Peat Fire 2006  
approx. 30cm**



# Results and Discussion

## Fire1 2006, Turana channel



**Ortho-Photo 1534, Peat Fire** © V. Boehm / Kalteng Consultants



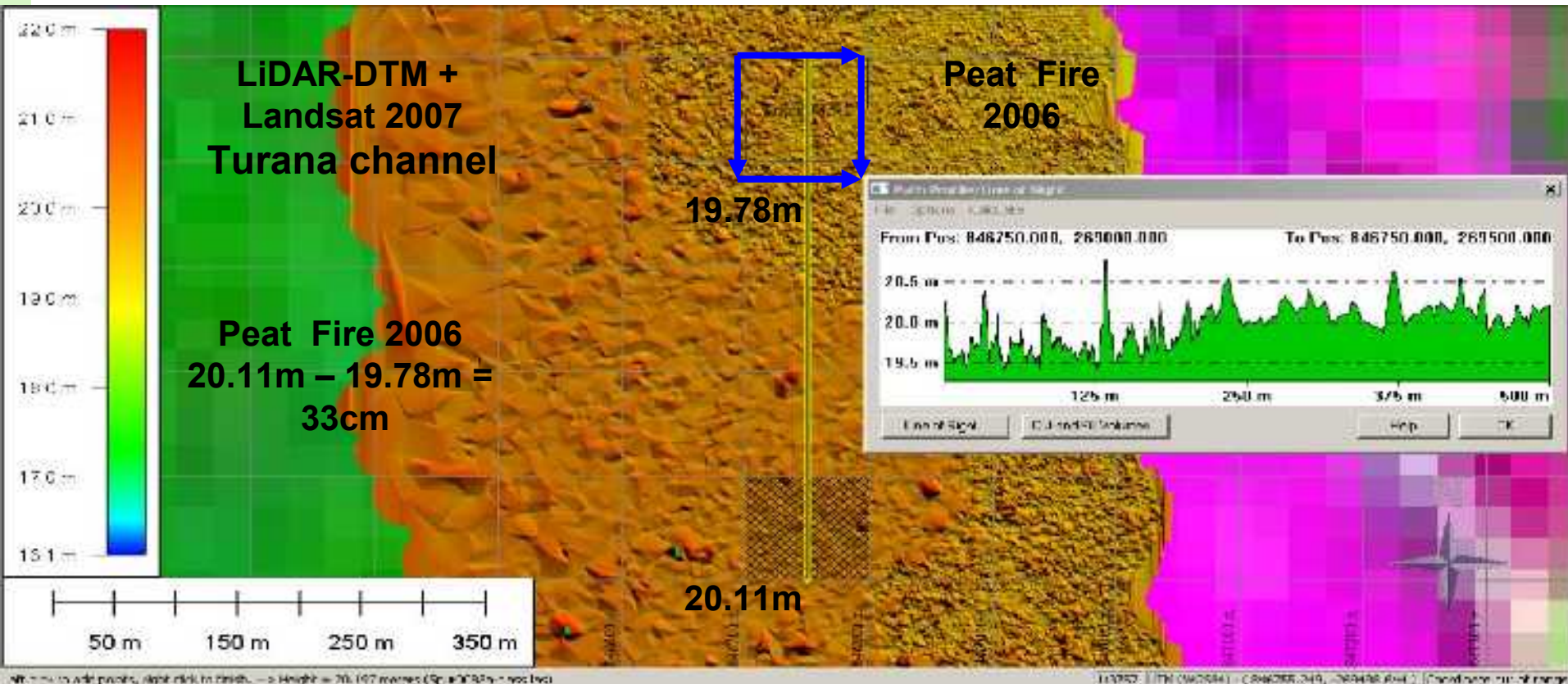
**Ortho-Photo 1531, Turana channel**



# Results and Discussion

## Fire1 2006, Turana channel

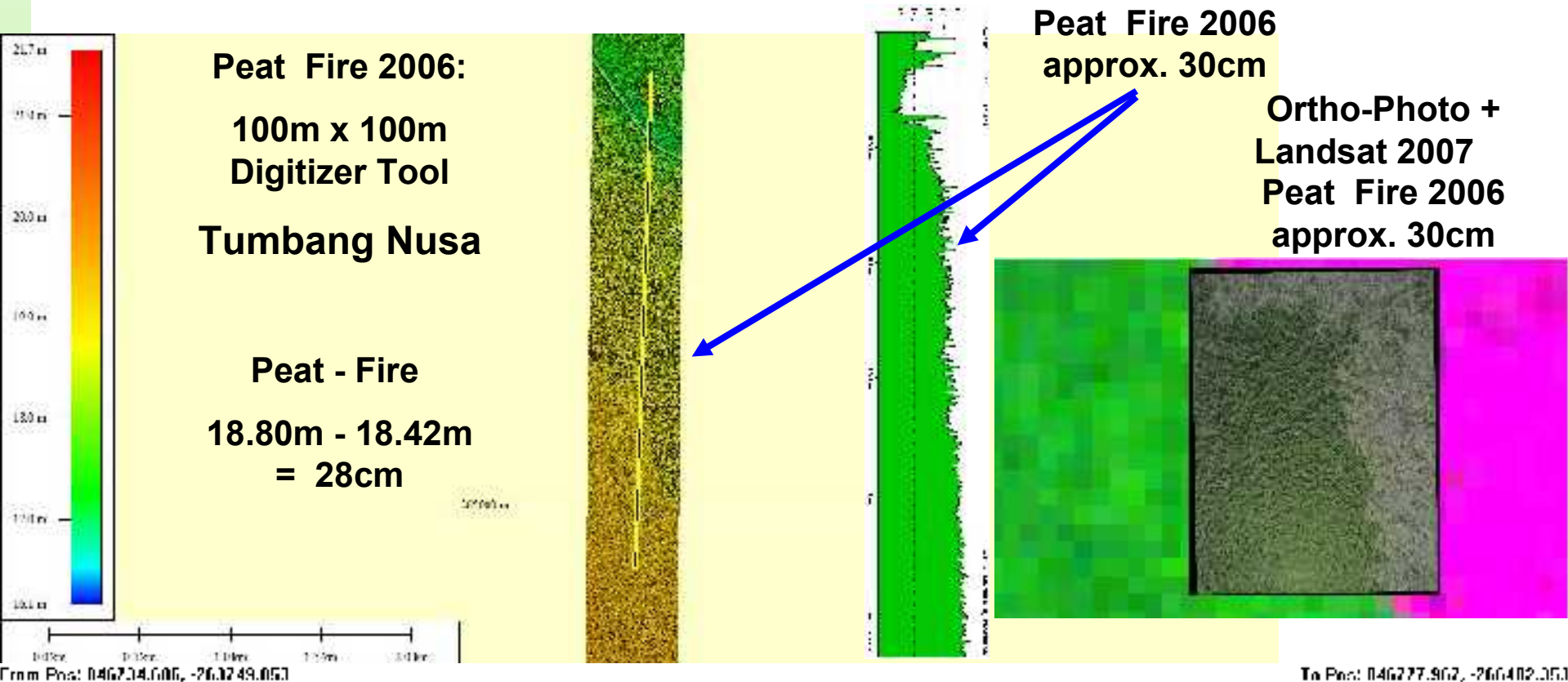
© V. Boehm / Kalteng Consultants



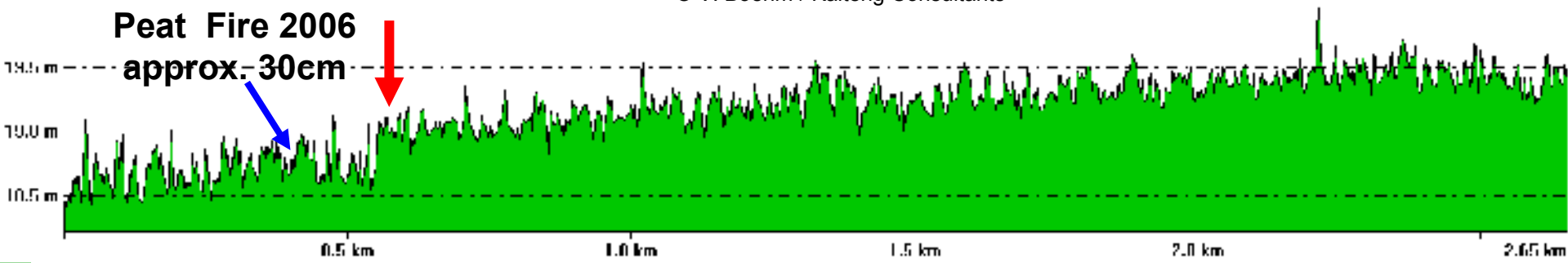
**LiDAR-DTM + Landsat 2007 and Turana fire area from 2006; DTM-profile and 100m x 100m digitizer tool, 20.11m – 19.78m = 33cm fire depth**

# Results and Discussion

## Fire2 2006, Tumbang Nusa



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# Results and Discussion

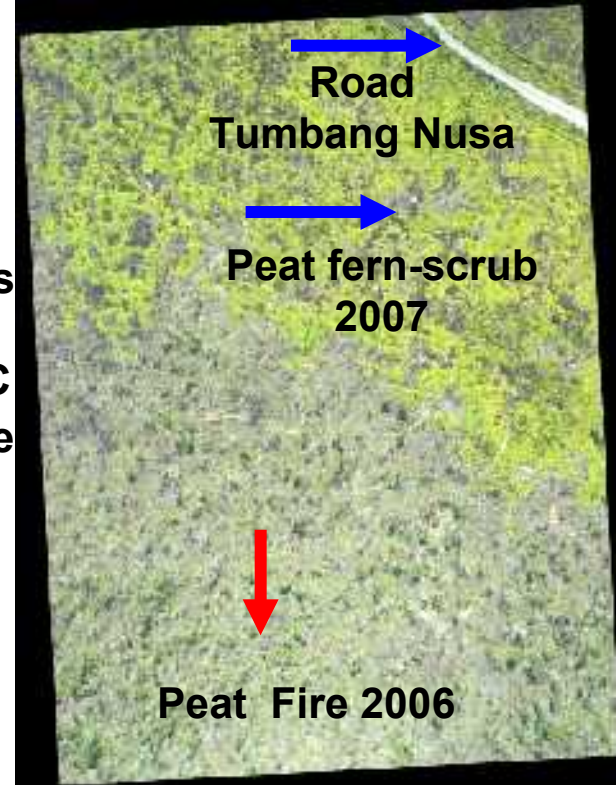
## Fire2 2006, Tumbang Nusa



The 2006 fires on the two areas in Block C showed a fire depth varying from 15cm to 30cm. An extrapolation of the fire damages was done using ancillary Landsat scenes of 2007 to the entire Block C and approx. 150 Mio ton of C were release to the atmosphere in 2006.

Ortho-Photos  
+ LiDAR-DTM

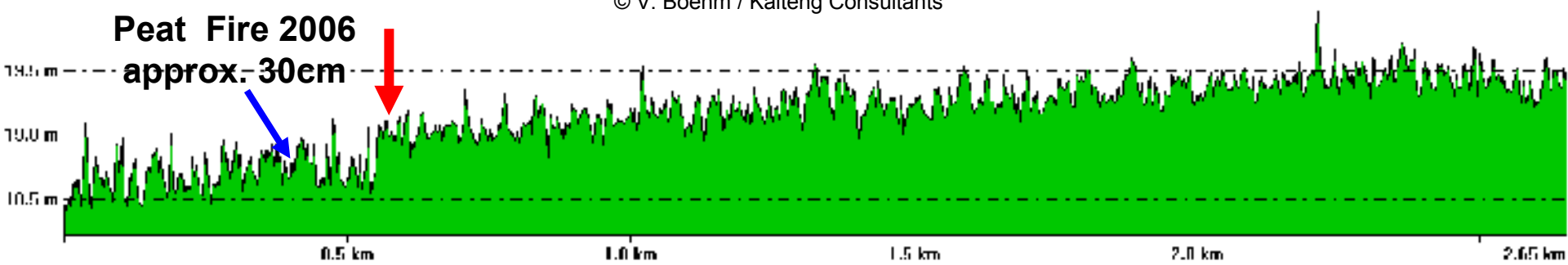
Tumbang Nusa



From Pos: 046234.606, -26.3249.053

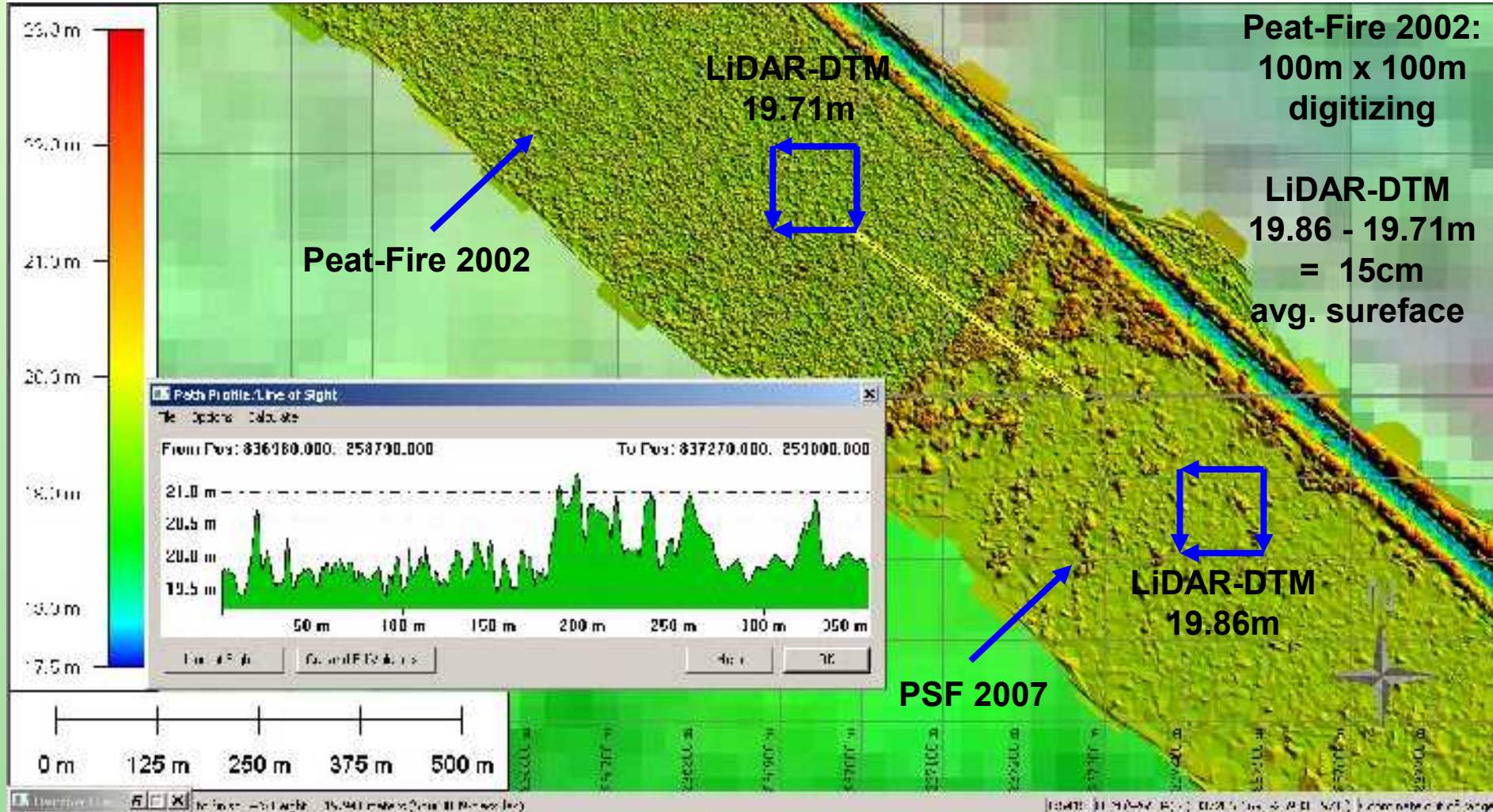
To Pos: 046277.962, -26.6402.053

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# Results and Discussion

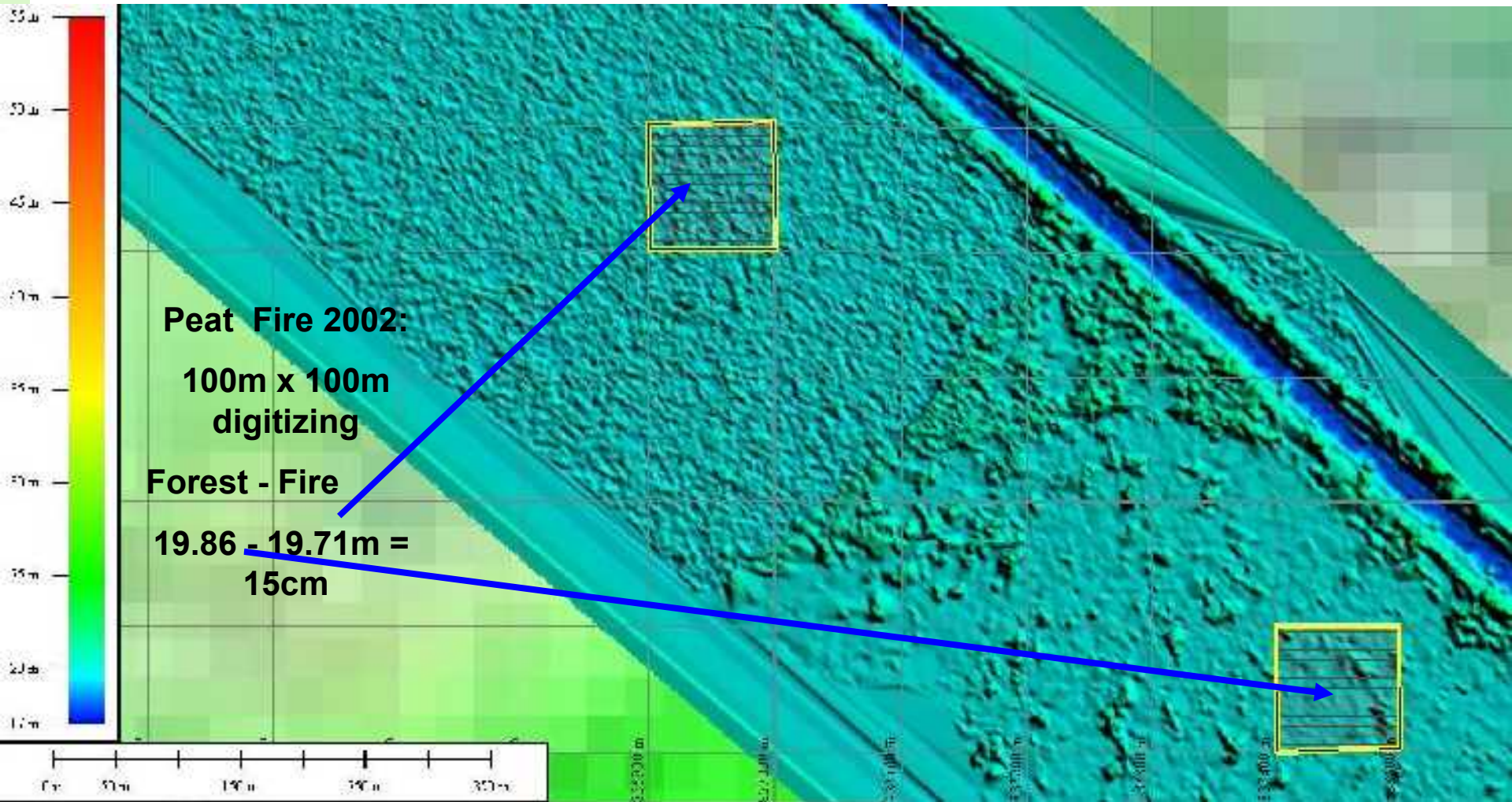
## Fire3 2002, Kalampangan-Turana



**Peat Fires of 2002 here analysed with 15cm, using a 100m Grid (1ha) digitizer tool, Track 039 near Turana channel**

# Results and Discussion

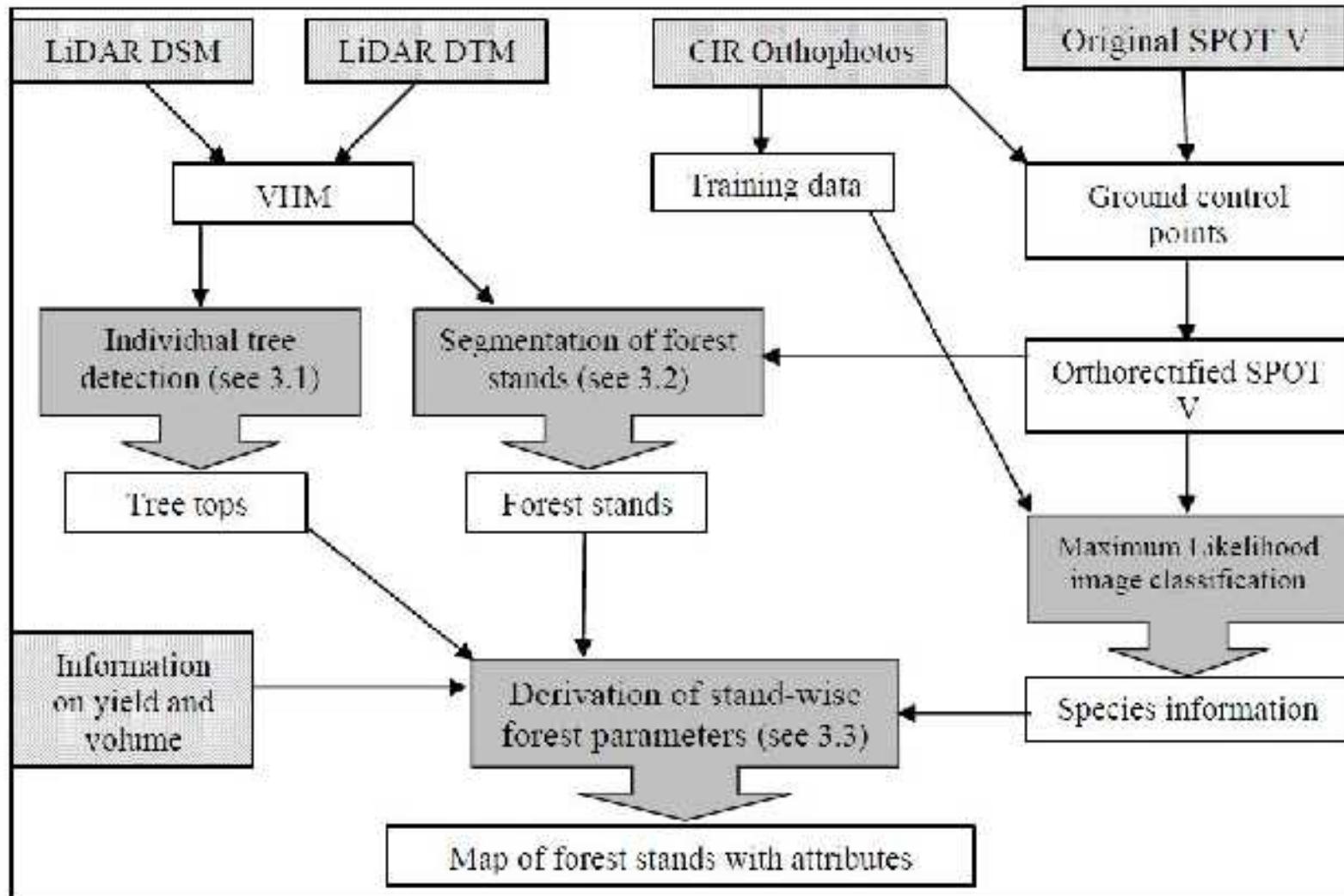
## Fire3 2002, Kalampangan-Turana



**Peat Fires of 2002 here analysed with 15cm, using a 100m Grid (1ha) digitizer tool,  
Track 039 near Turana channel**

# Results and Discussion

## Processing => Forest Maps



# Final Remarks

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- ✓ We found out that our analysis were affected by past selective logging activities reducing our linear regression results significantly; especially at Mawas km238 location;
- ✓ Our results are useful to assess the dependence of biophysical properties (e.g. above ground biomass + REDD) with peat dome slope in peatlands environments;
- ✓ Good nutrients and permanent water saturation related with the permeability, interflow, water storage capability and nutrient availability in the peat slope/dome;
- ✓ Further research is still necessary in order to test the dependence of other biophysical parameters and feature selection techniques for LiDAR data in different vegetation types in Indonesia as well as field work campaigns;
- ✓ In spite of the technique used for dependence assessment, interesting results will be probably achieved with the additional use of new LiDAR measurements over the area, e.g. in 2011 regarding change detection of biomass, peat fires etc;

# Future Work

- ✓ New LiDAR measurements in Central Kalimantan (Indonesia);
- ✓ Field work activities for the determination of LAI, Tree Crown Coverage, Above Ground Biomass, REDD and in-situ tree height measurements;
- ✓ Merging of both Ortho-Photographs with LiDAR data;
- ✓ Integration with both Optical and SAR data; Multisensorics;



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**Thank you!!!**